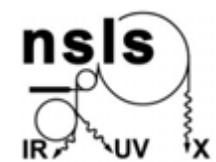


GISAXS/GIWAXS Data Analysis: Thinking in Reciprocal-Space



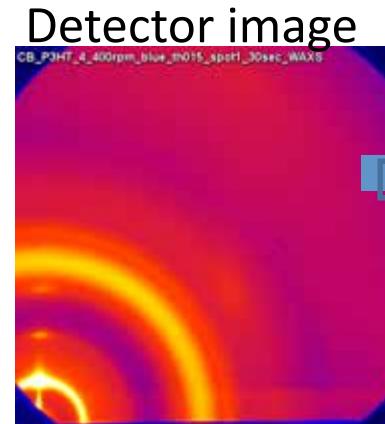
Kevin G. Yager
kyager@bnl.gov



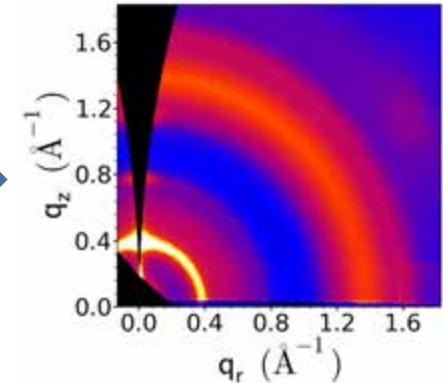
What to do with data?

- Qualitative inspection
- Linecuts
- Peak indexing
- Modeling (comparison)
- Fitting

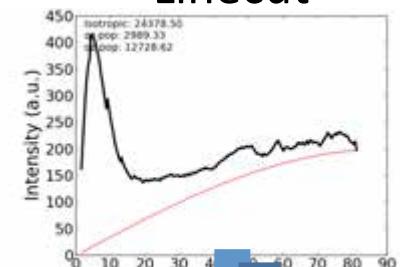
Workflow



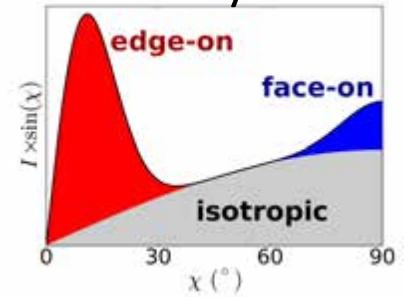
Calibrated 2D data



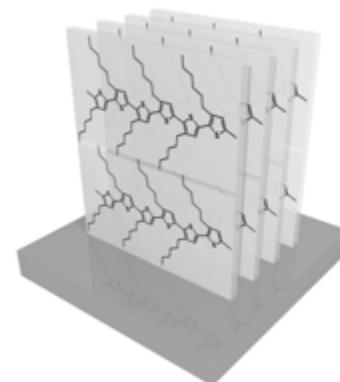
Linecut



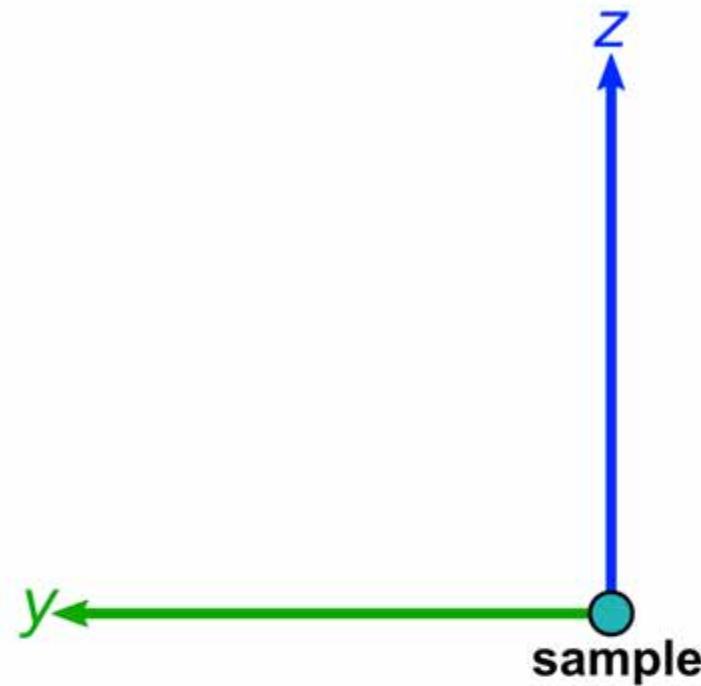
Analysis



Physical insight

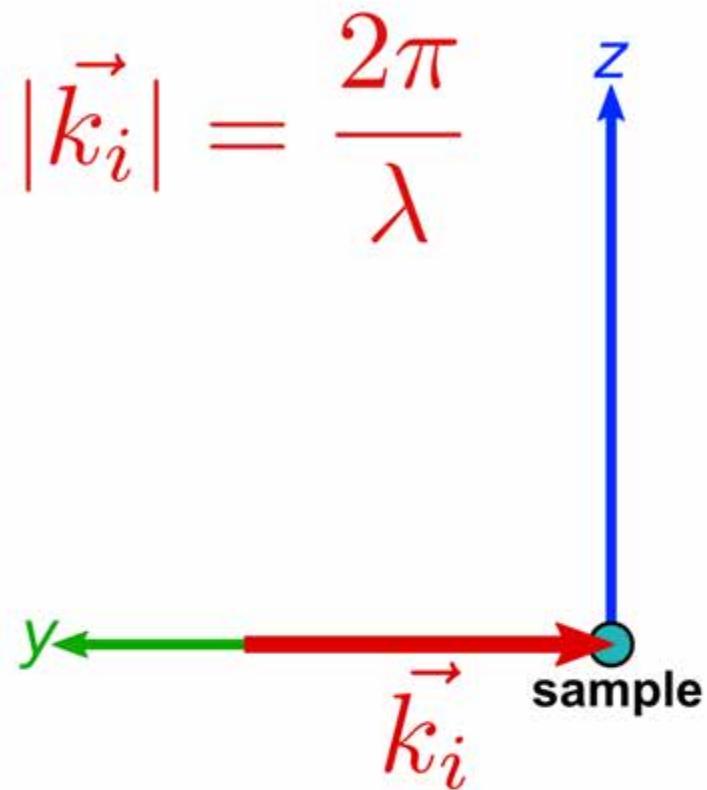


Scattering Geometry



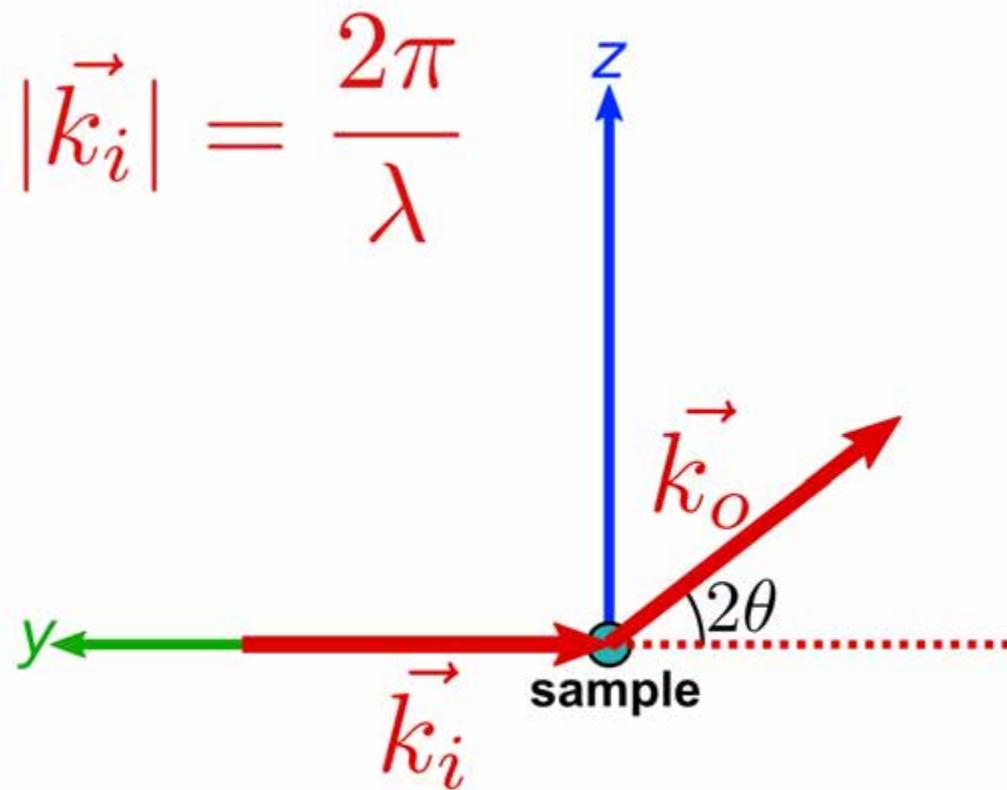
Scattering Geometry

- X-ray beam hits sample



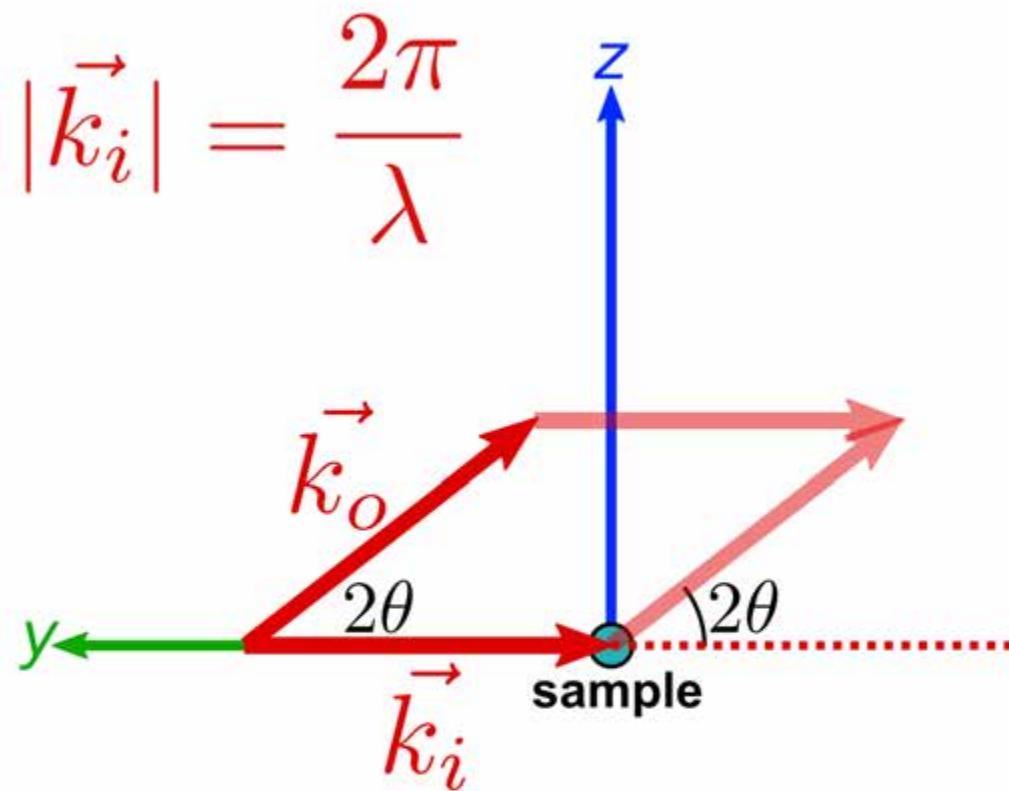
Scattering Geometry

- X-ray beam scatters at a certain angle



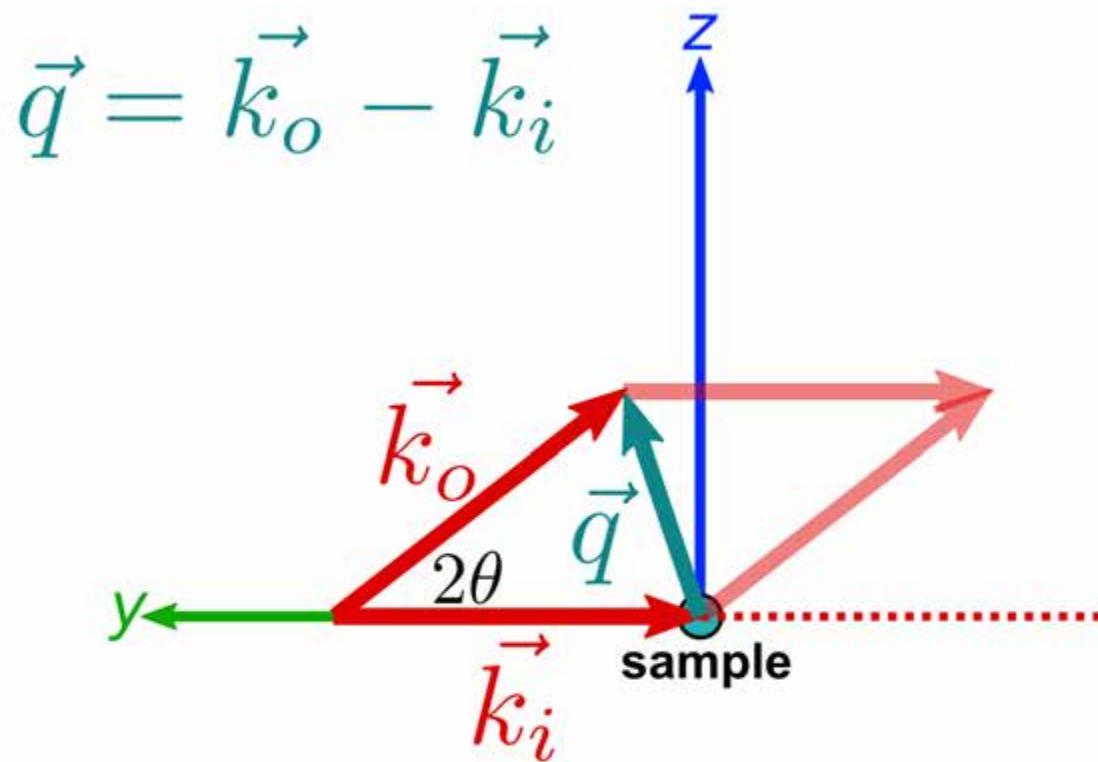
Scattering Geometry

- Consider the difference between the incident and scattered vectors



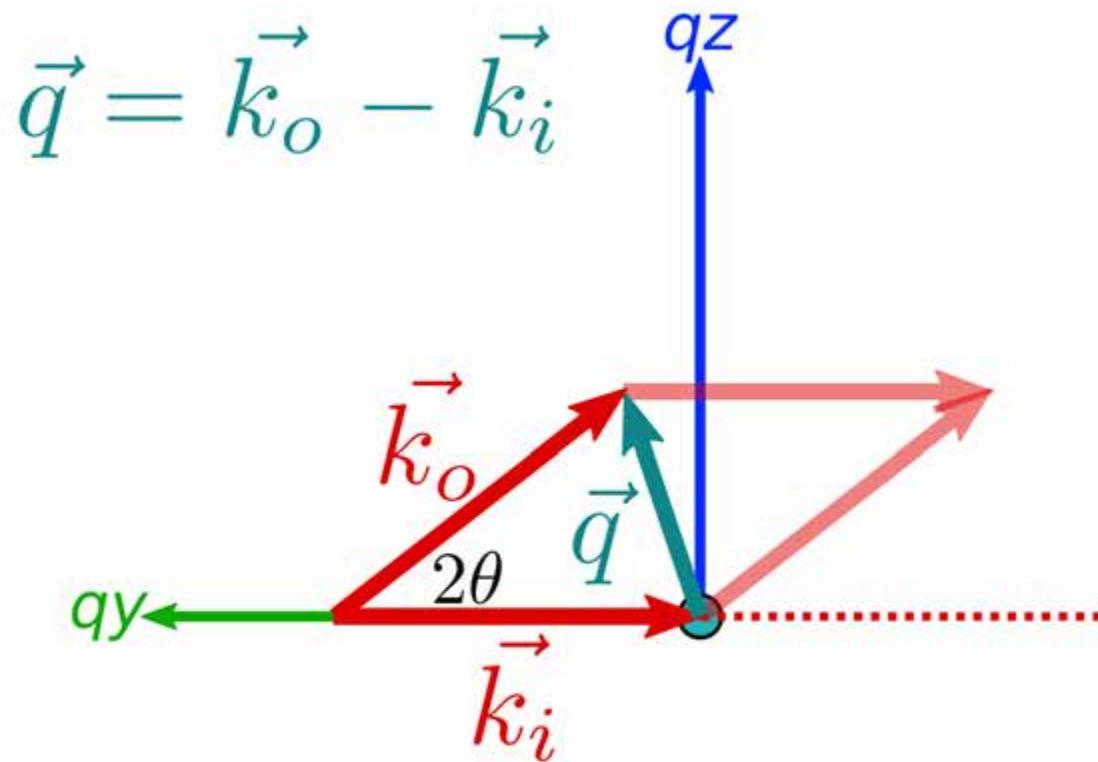
Scattering Geometry

- We define q to be the momentum transfer



Reciprocal-space

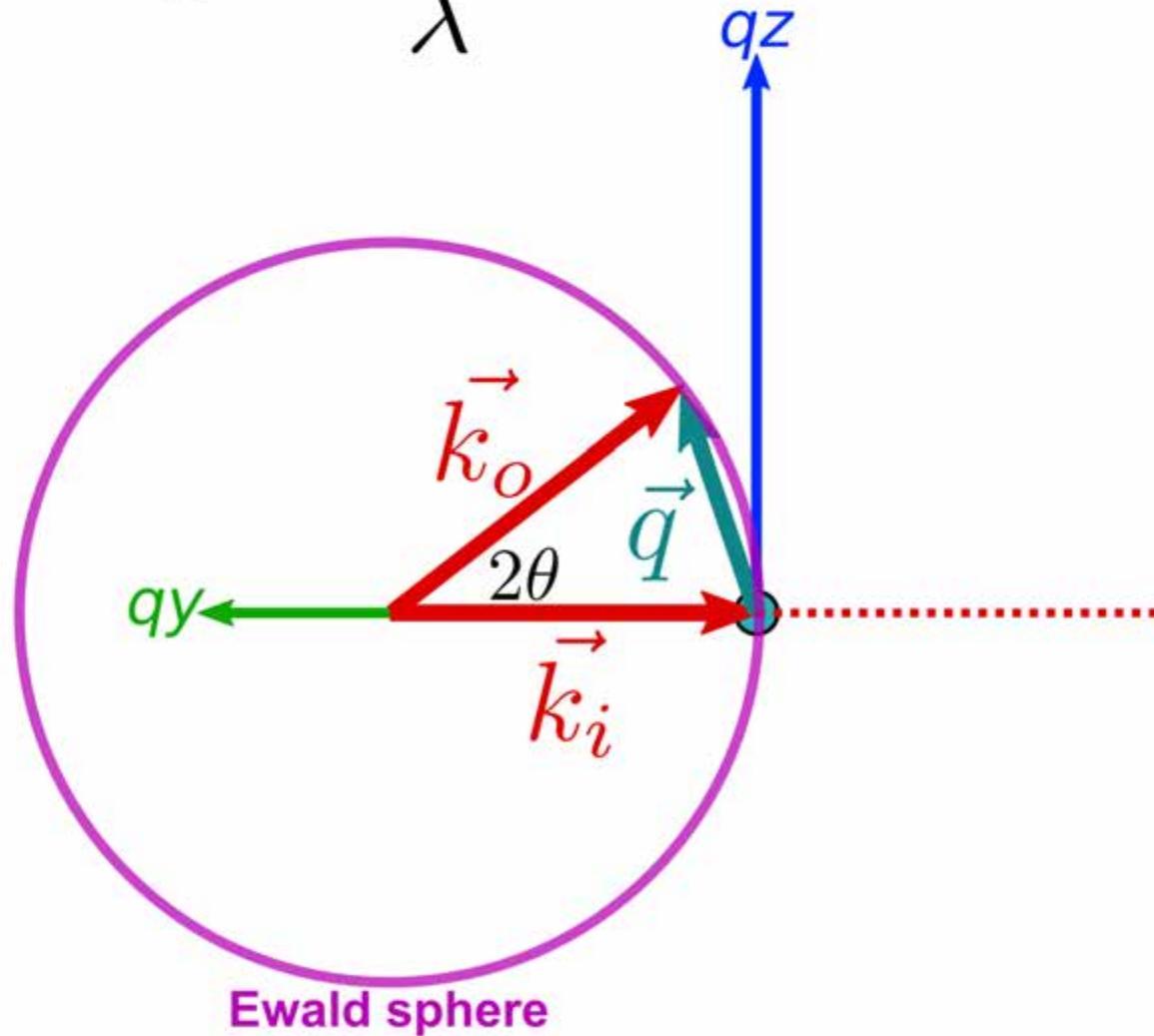
- q is a vector in **reciprocal-space** (k and q have units of 1/distance)



Reciprocal-space

- \vec{q} is always on a sphere

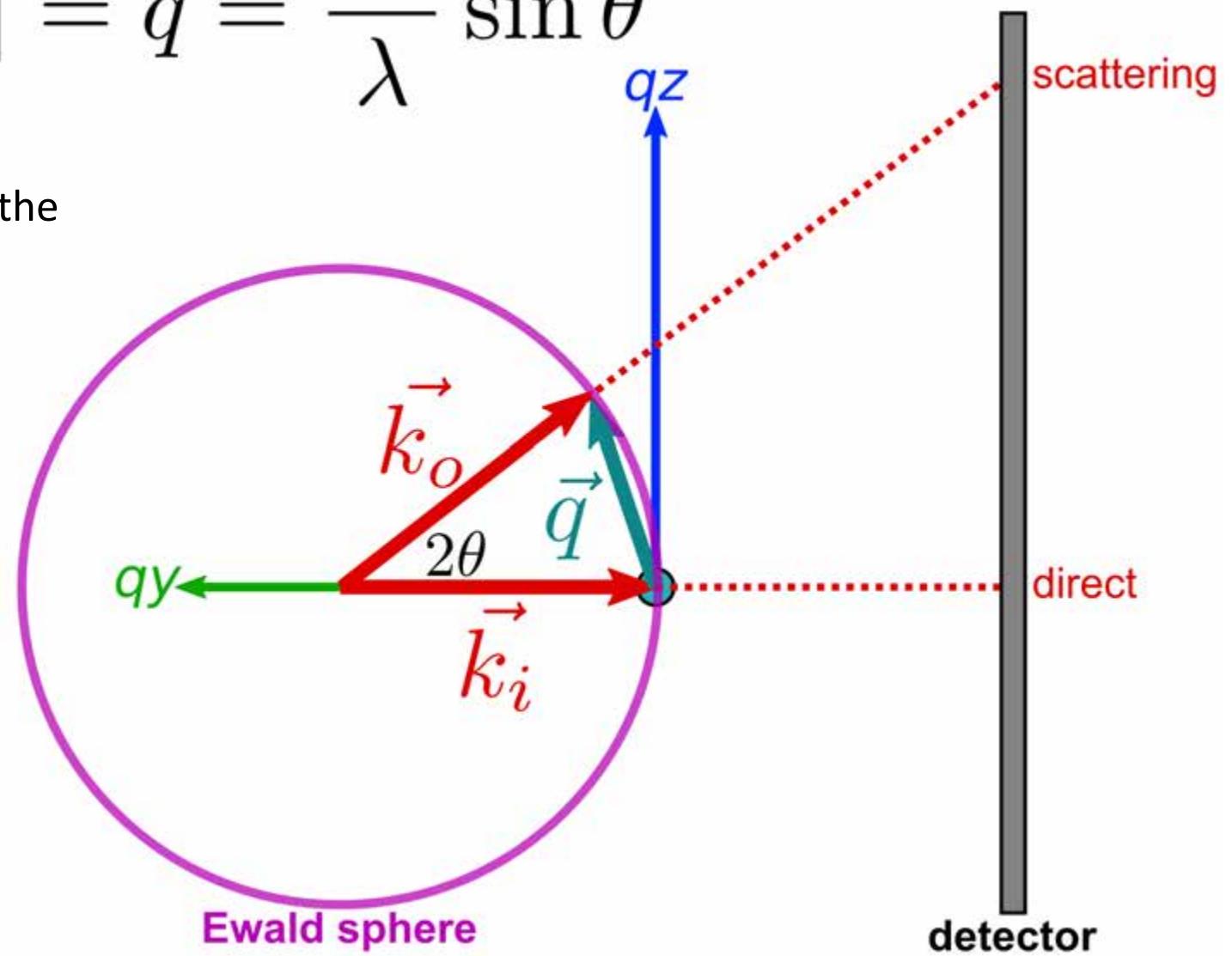
$$|\vec{q}| = q = \frac{4\pi}{\lambda} \sin \theta$$



Reciprocal-space

$$|\vec{q}| = q = \frac{4\pi}{\lambda} \sin \theta$$

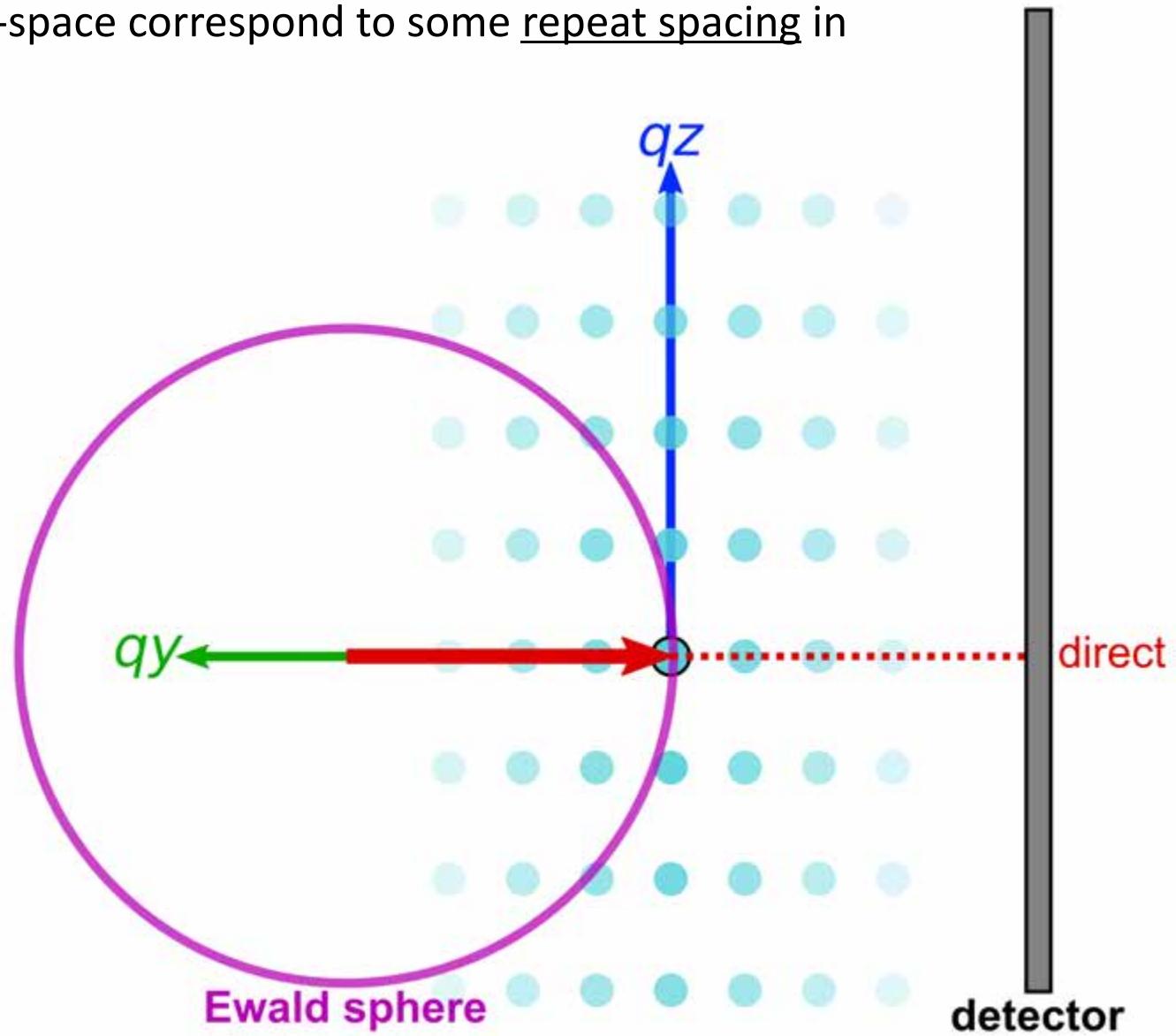
Detector records the intersection between the Ewald sphere and the reciprocal-space



For SAXS, it's almost a plane...

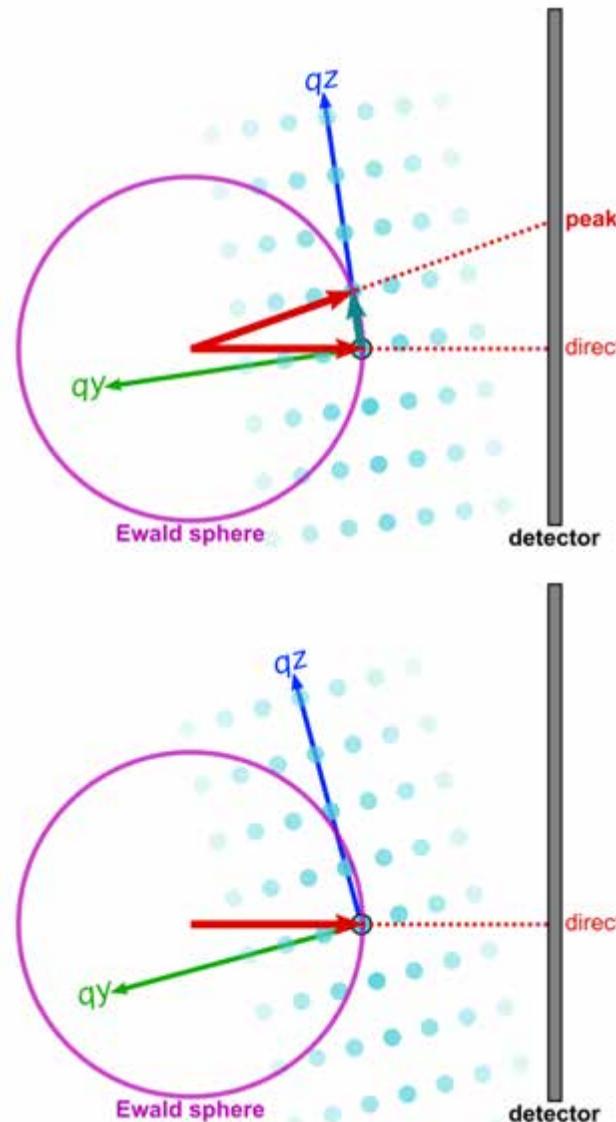
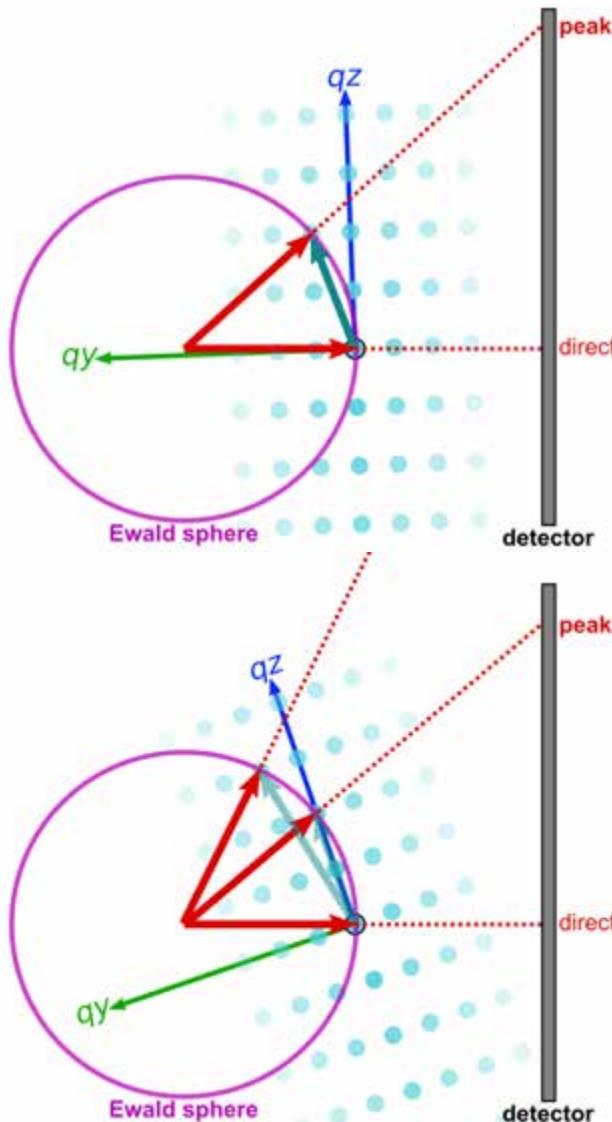
Reciprocal lattice

- Reciprocal-space is the Fourier transform of the realspace structure
- Peaks in reciprocal-space correspond to some repeat spacing in realspace
- $q = 2\pi/d$



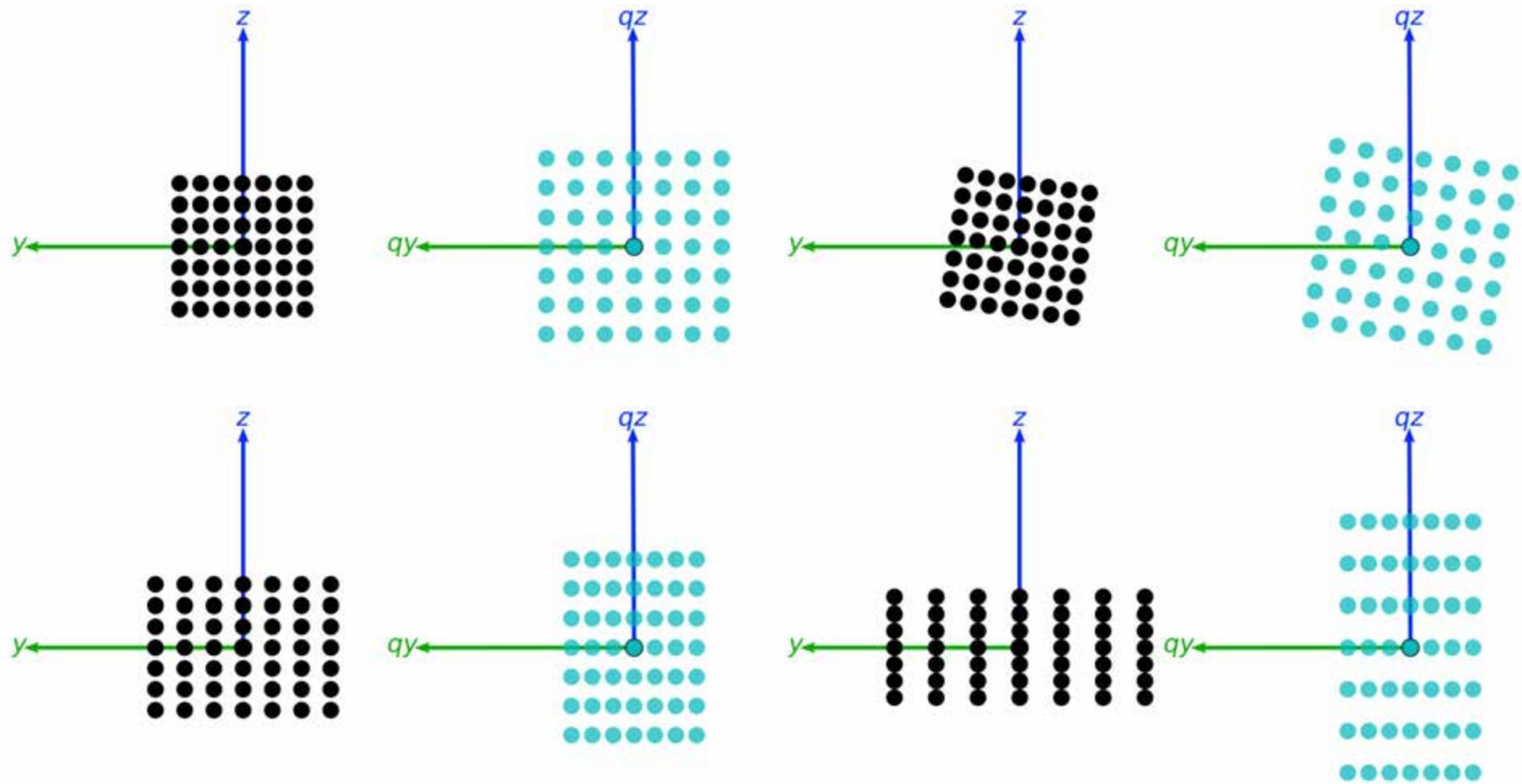
Reciprocal lattice

- You observe a peak on the detector when the reciprocal lattice is aligned such that the Ewald sphere intersects the peak



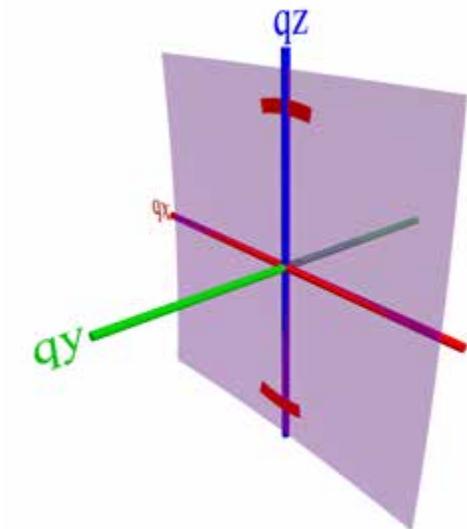
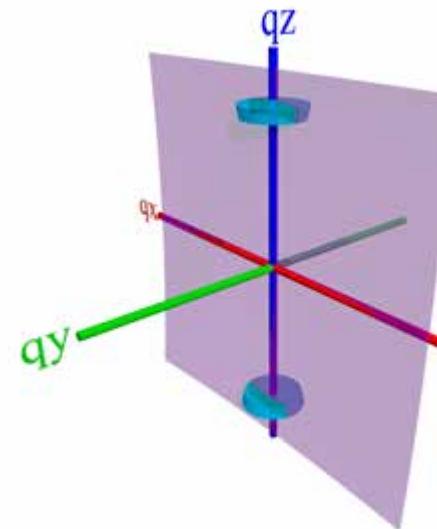
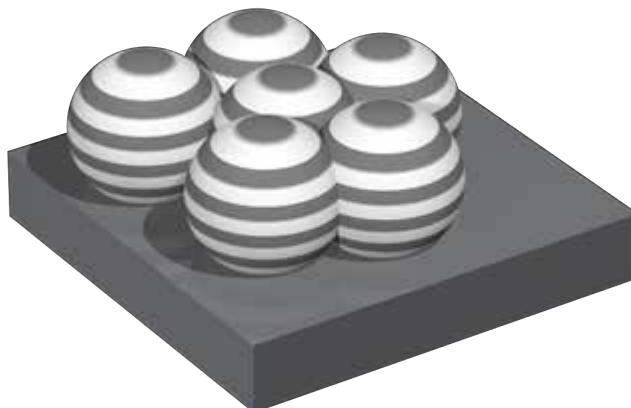
Reciprocal lattice examples

- Peaks come from repeating structures
- Distance is inverted: $d = 2\pi/q$

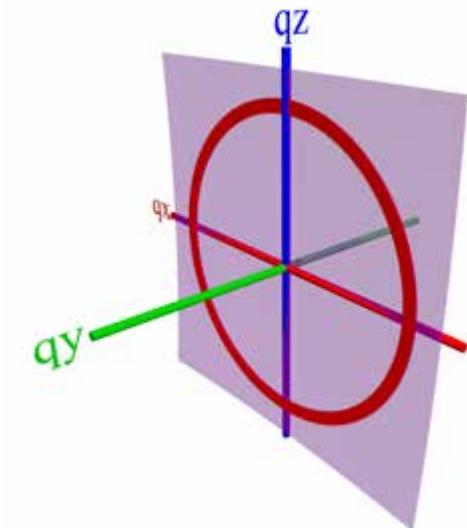
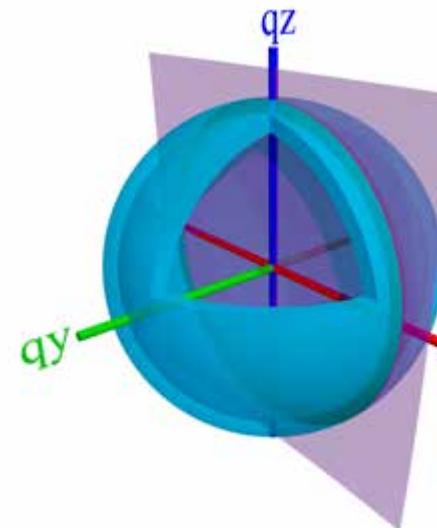
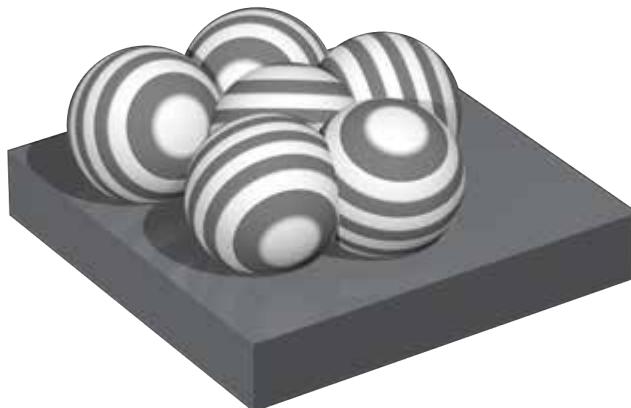


3D Reciprocal-space

- Aligned

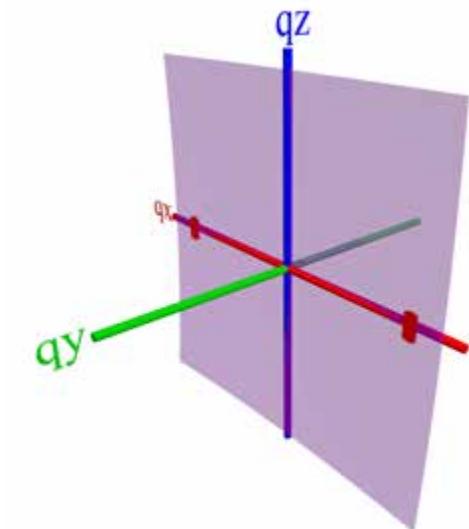
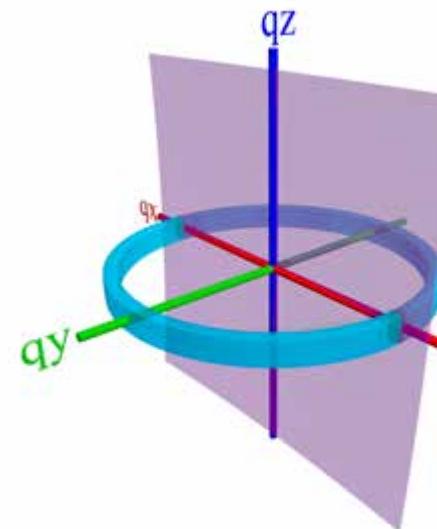
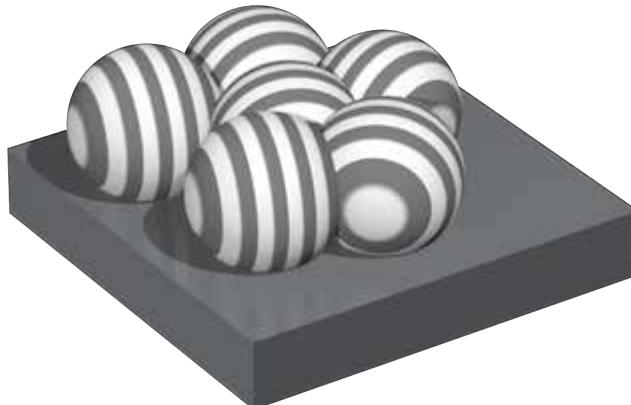


- Isotropic

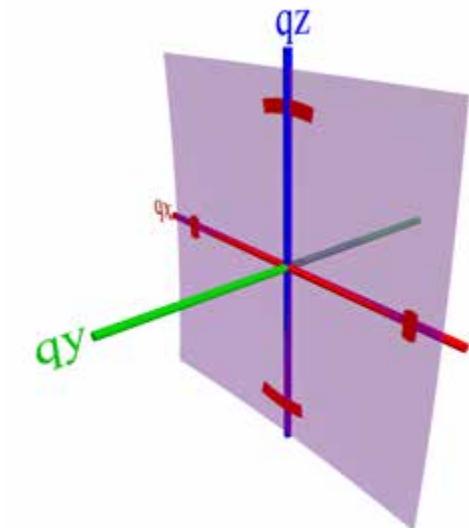
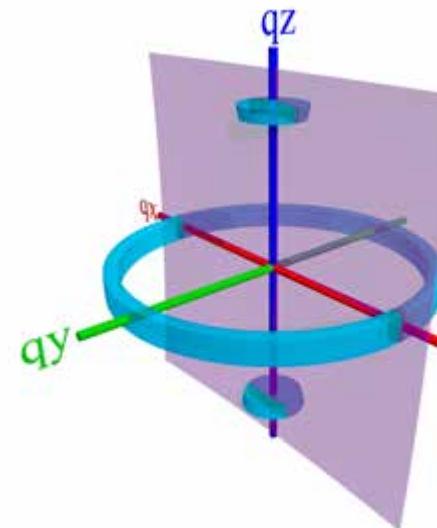
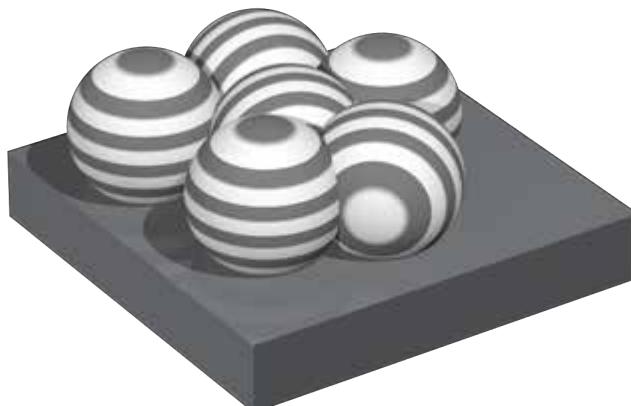


3D Reciprocal-space

- In-plane powder

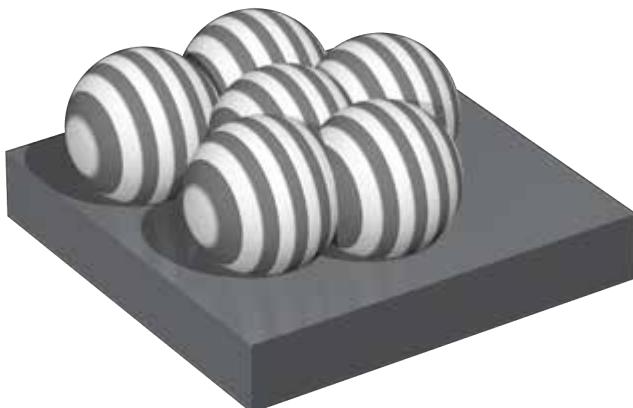


- Bimodal



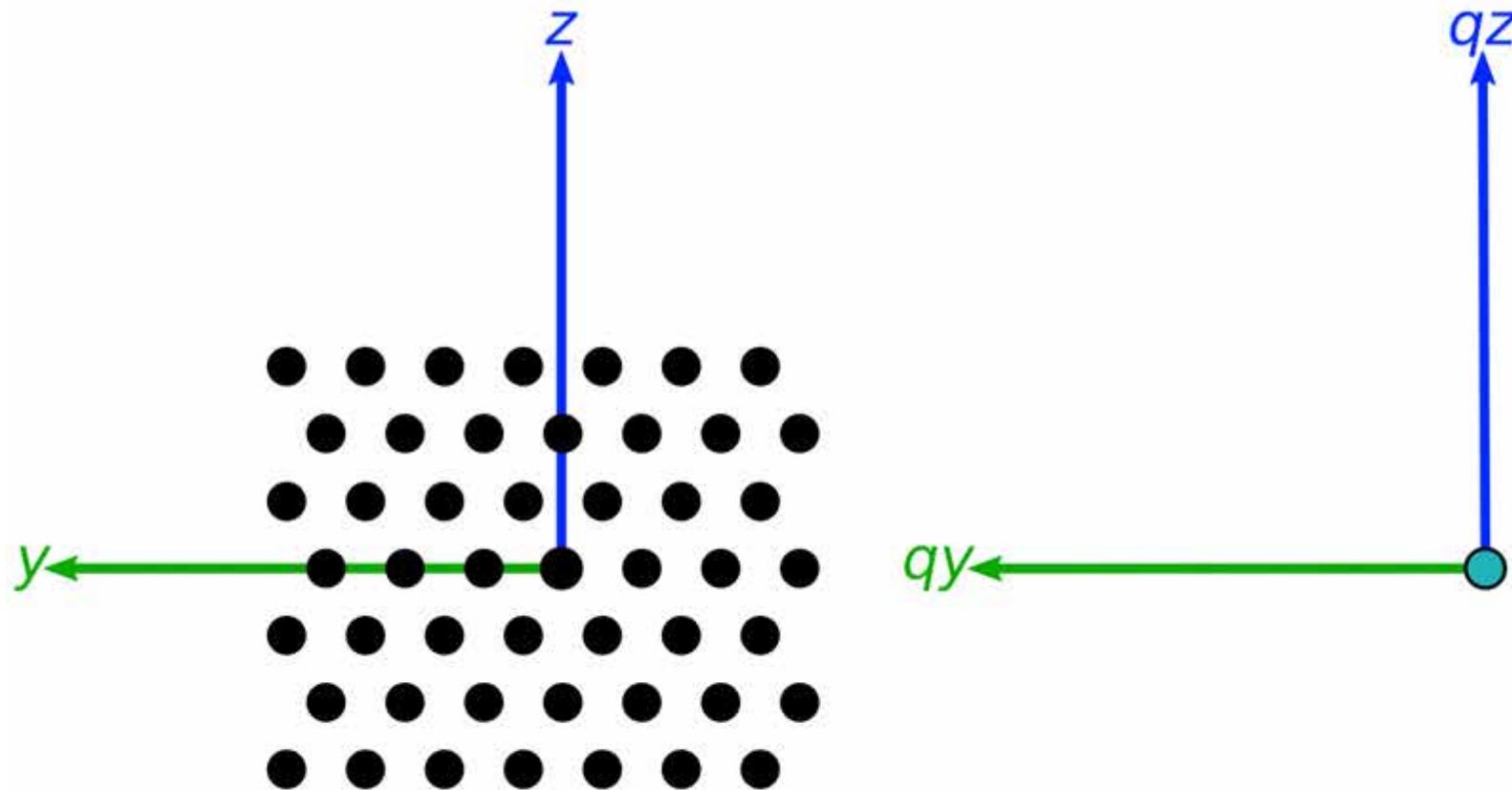
3D Reciprocal-space

- ?



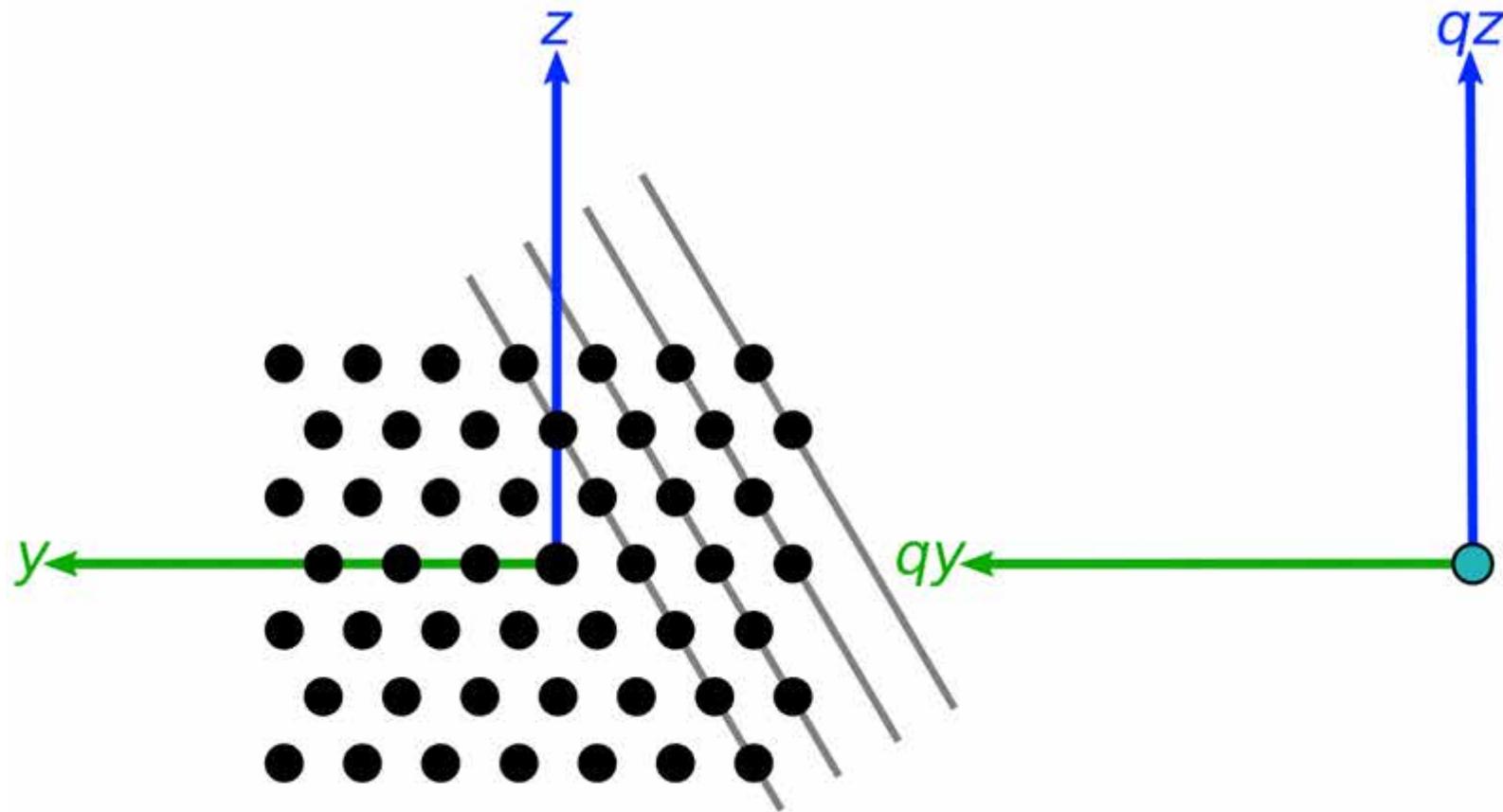
Reciprocal lattice exercise

- What lattice will be generated?



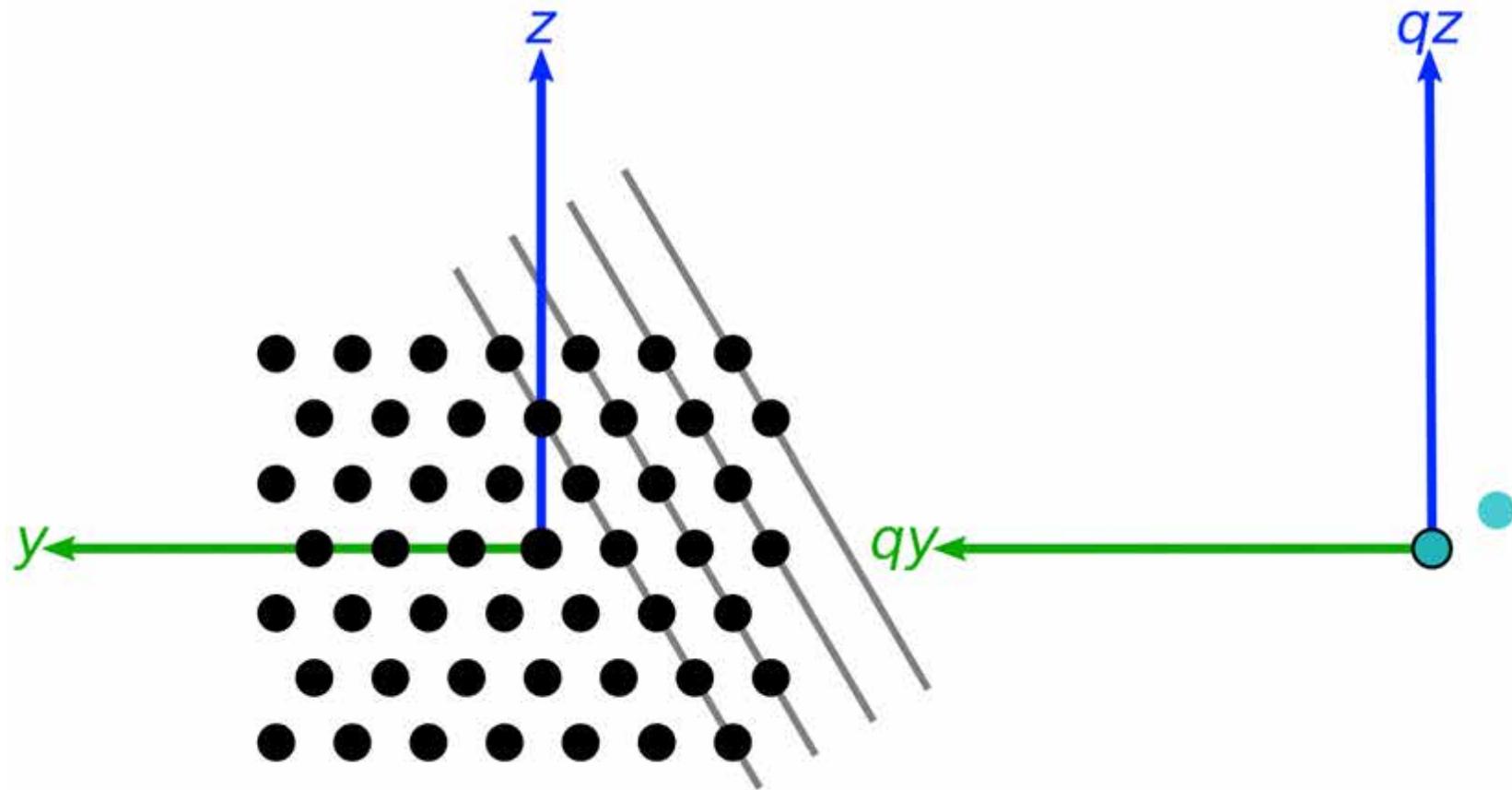
Reciprocal lattice exercise

- Identify realspace planes



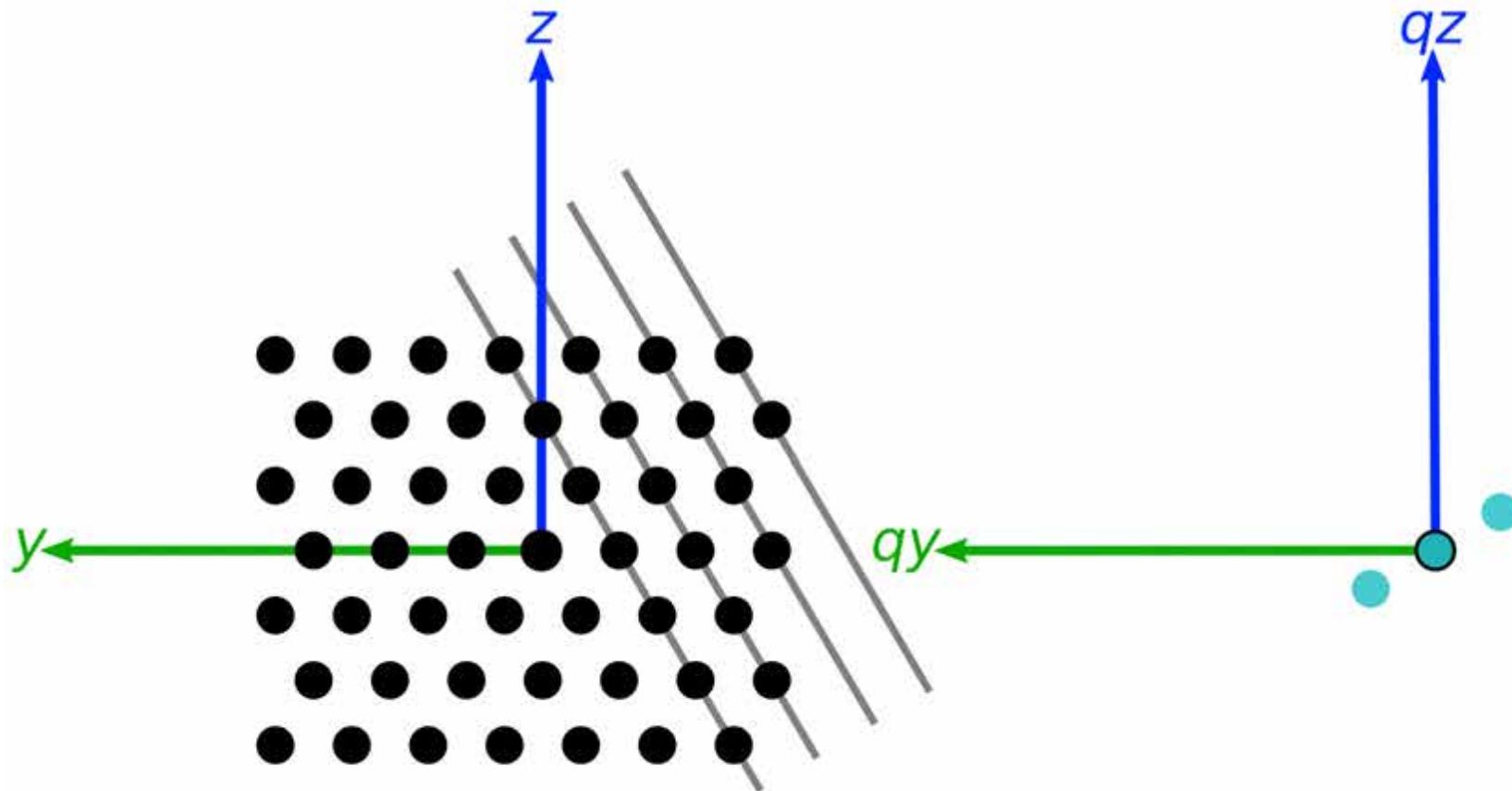
Reciprocal lattice exercise

- This repeating structure yields a peak normal to the planes



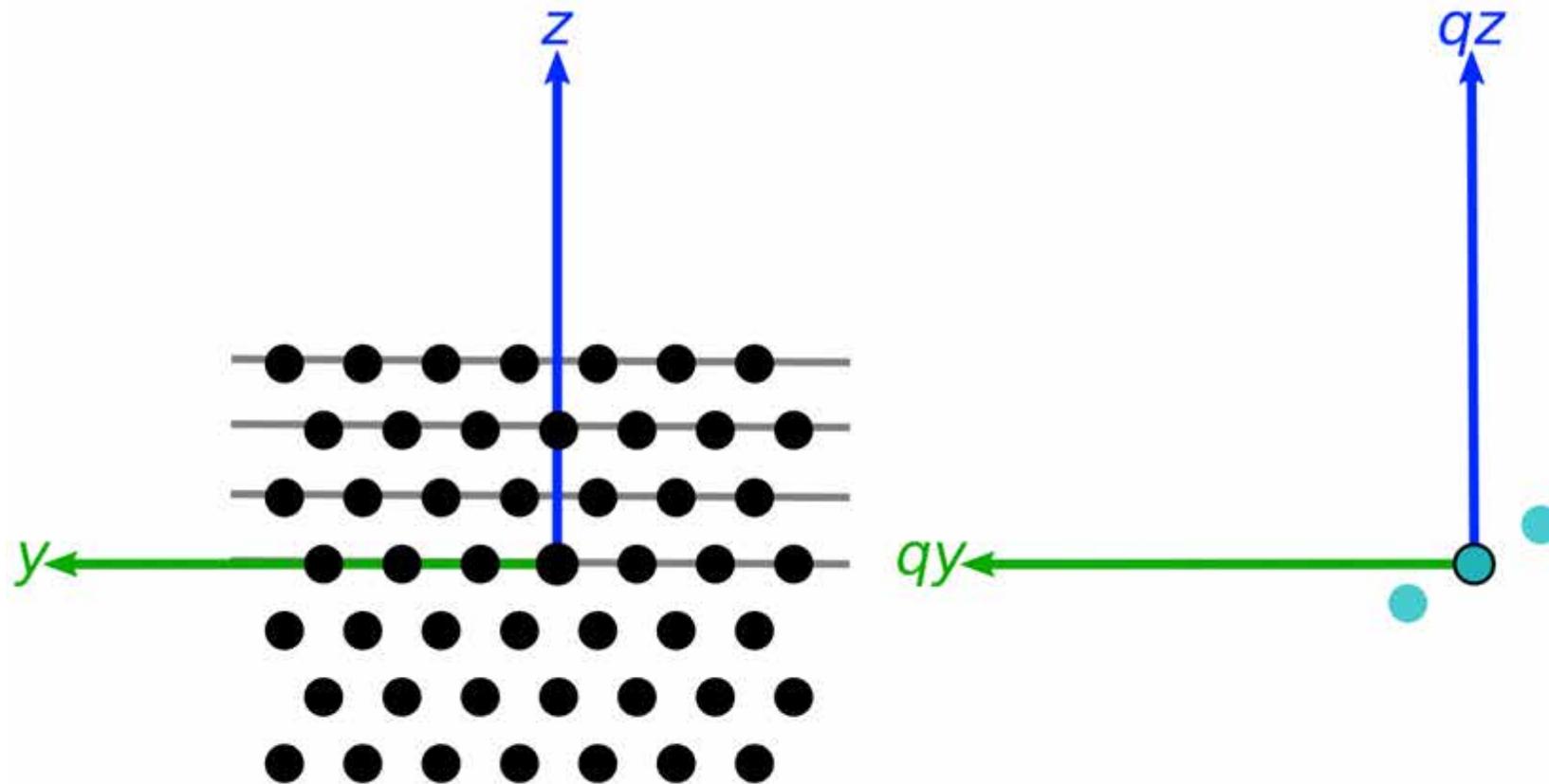
Reciprocal lattice exercise

- Reciprocal-space is centro-symmetric



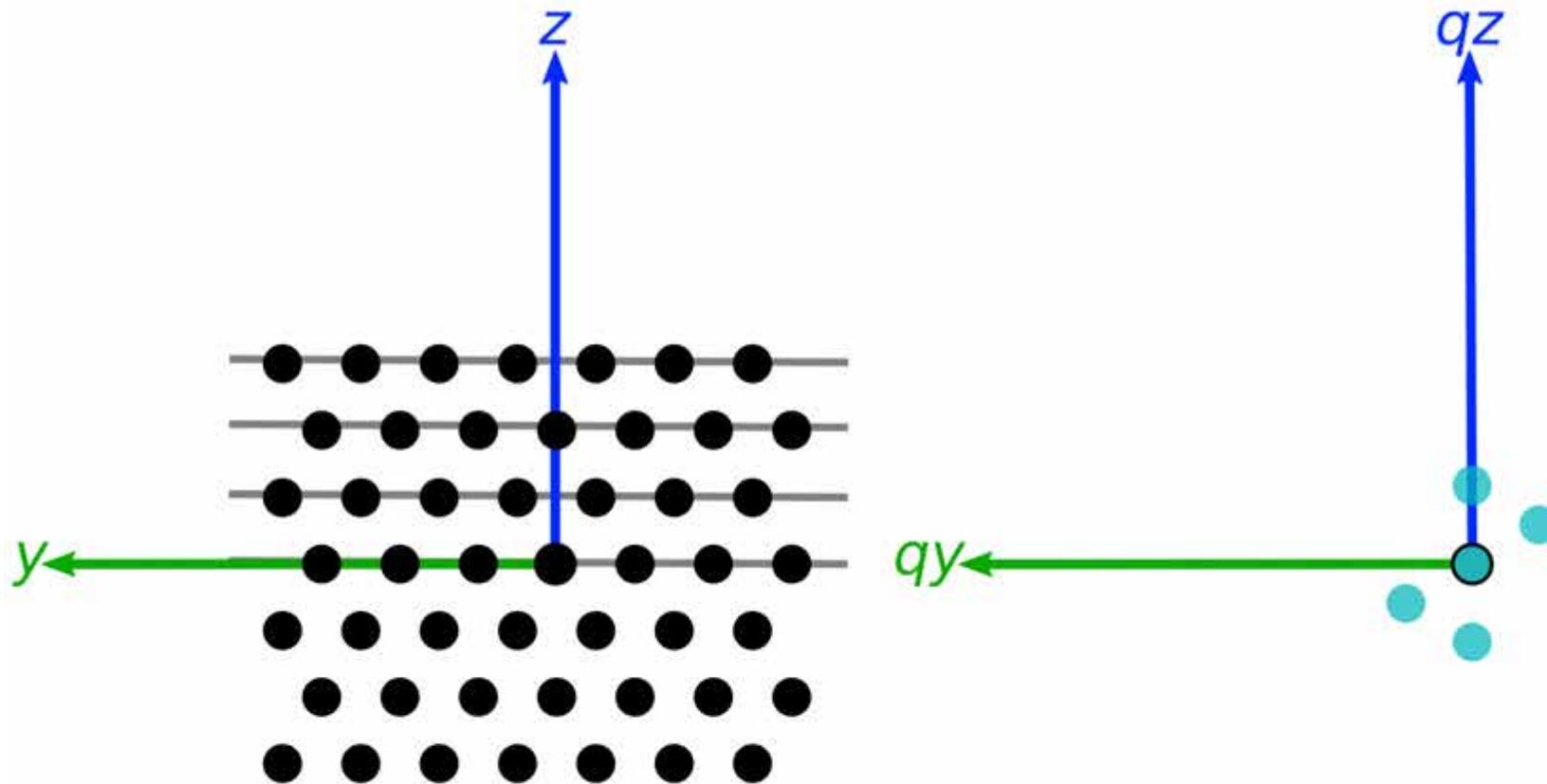
Reciprocal lattice exercise

- Another set of planes...



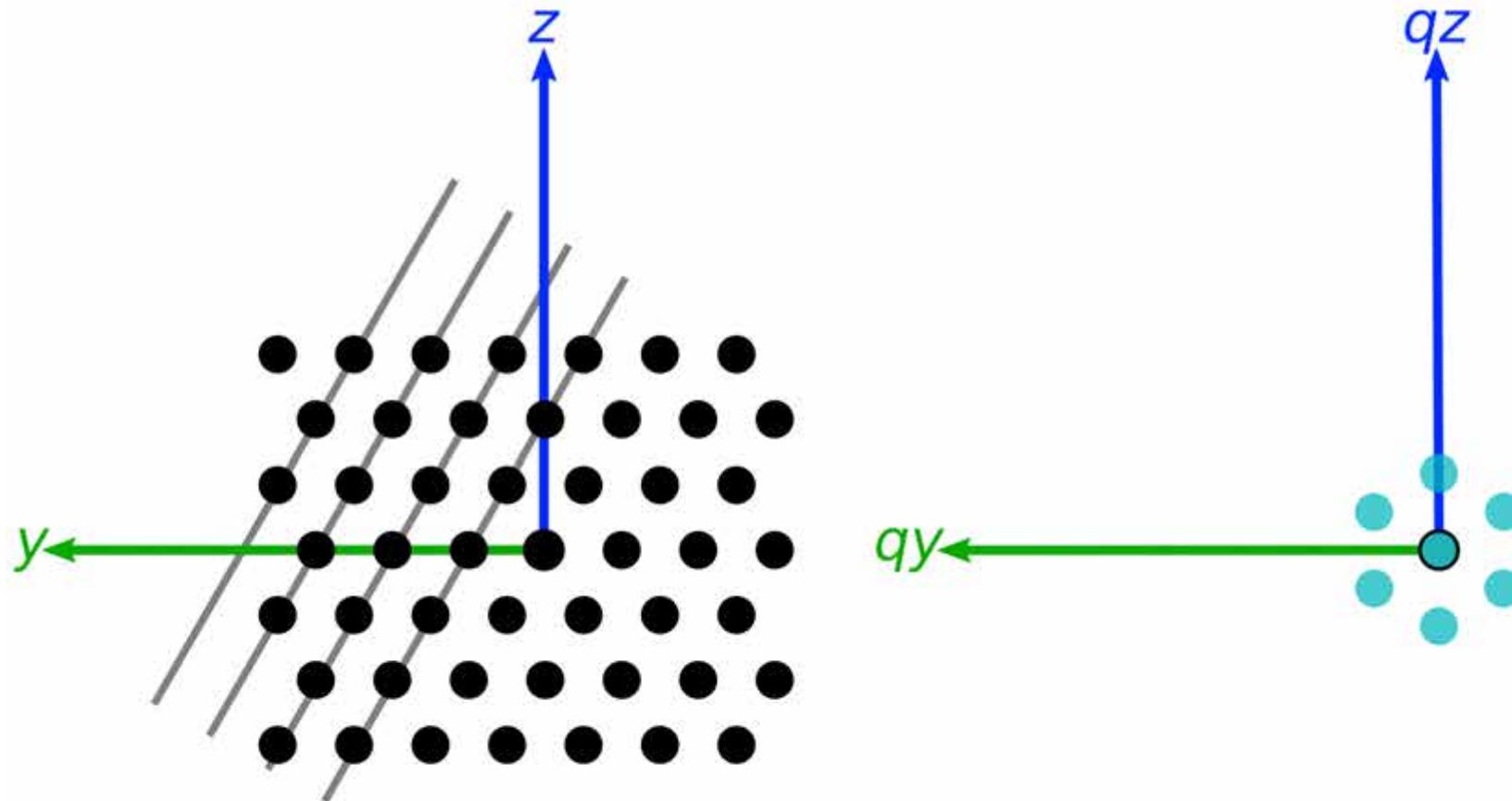
Reciprocal lattice exercise

- Another set of planes...
- ... another set of peaks.



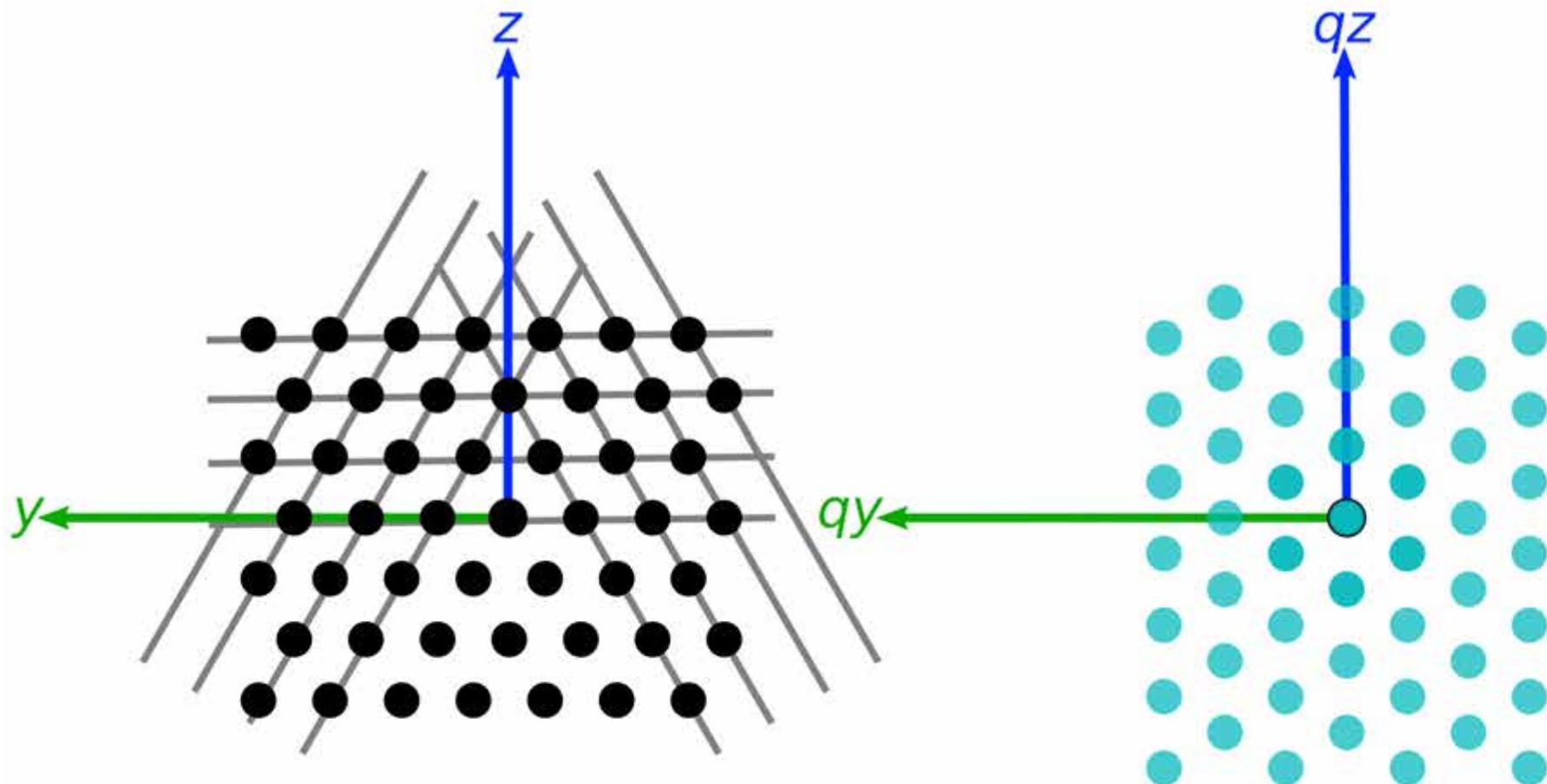
Reciprocal lattice exercise

- Reciprocal peaks are arranged in hexagonal lattice
- But note the orientation!
- Also: no peak corresponds to the particle-particle distance...



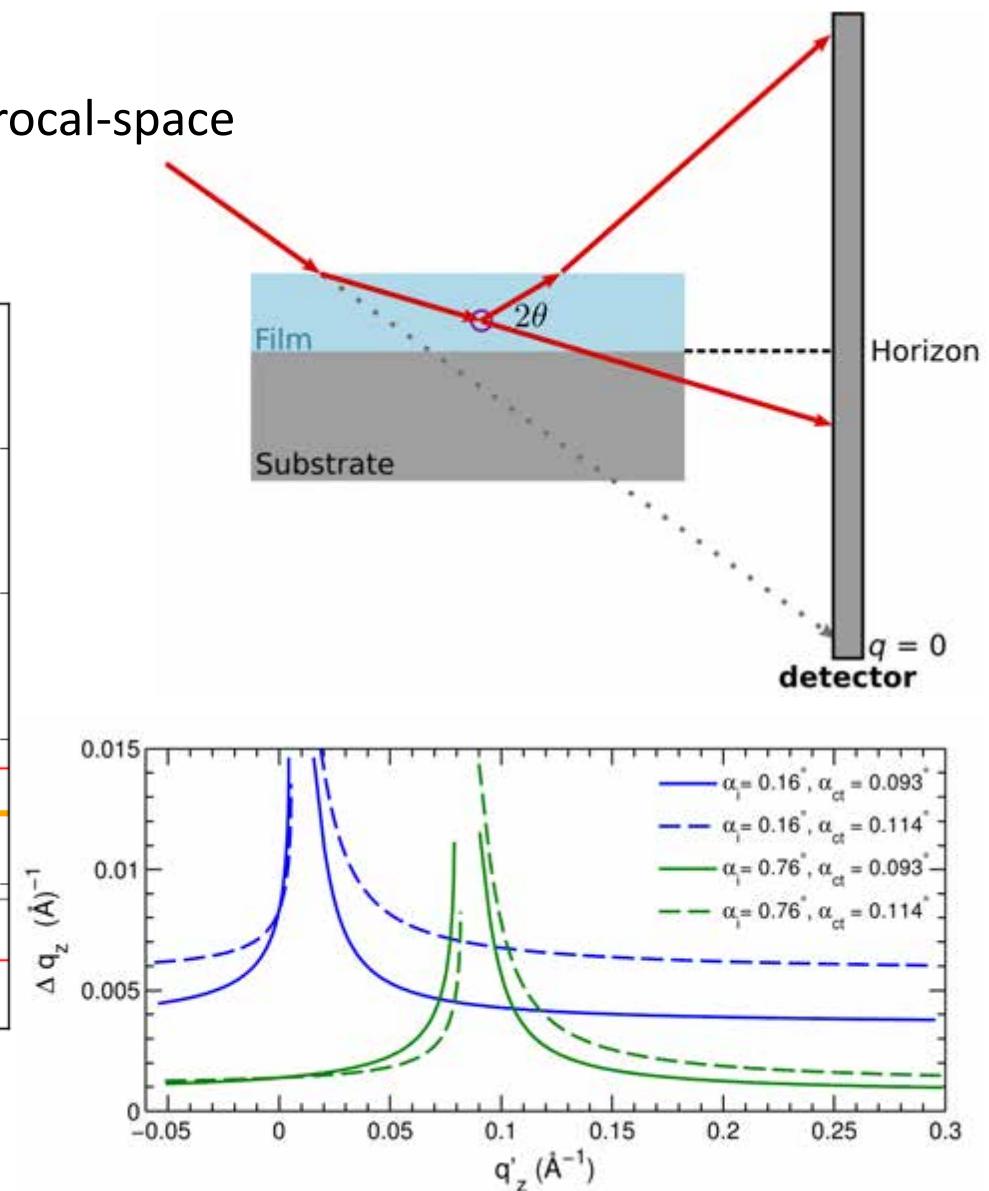
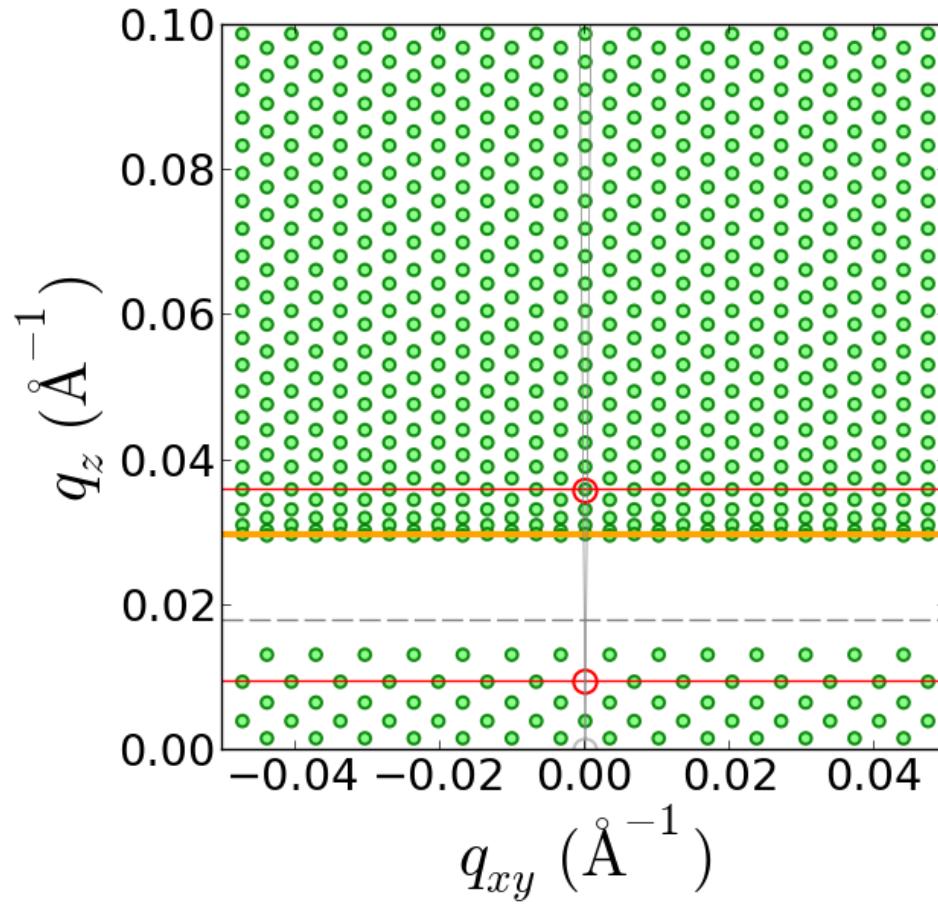
Reciprocal lattice exercise

- We also get higher-order peaks
- We've neglected peak intensities and shape, which encode all the details of the structure (finite size → peak width, electron density distribution → peak intensities, disorder → peak falloff, ...)



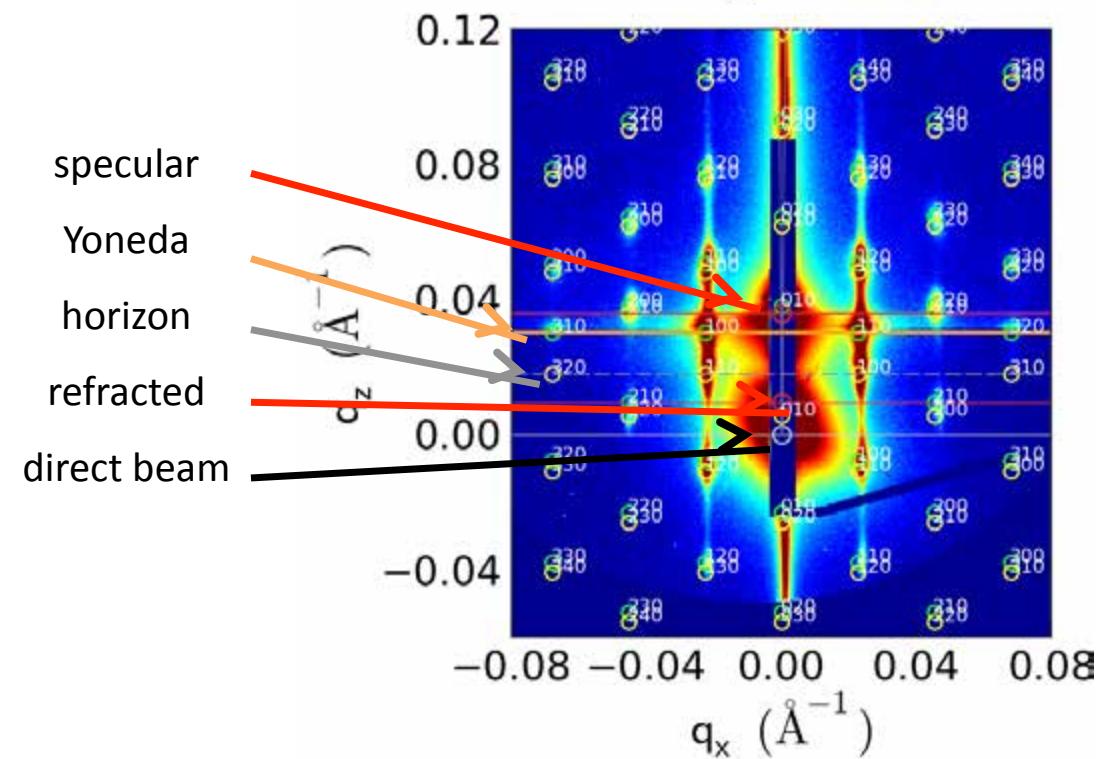
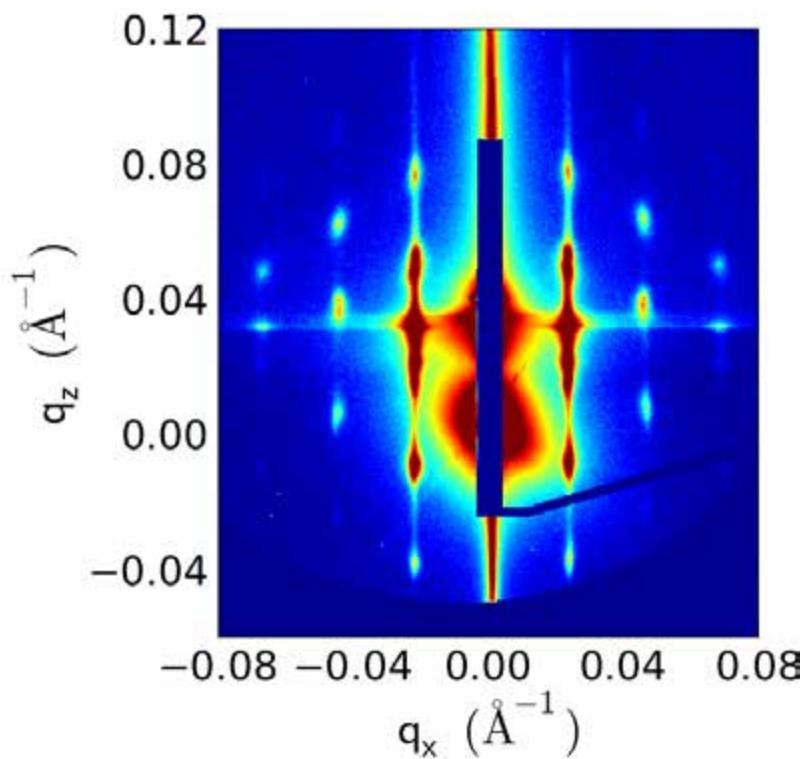
GISAXS

- GISAXS makes this more complicated:
 - Refraction shifts and distorts reciprocal-space



GISAXS

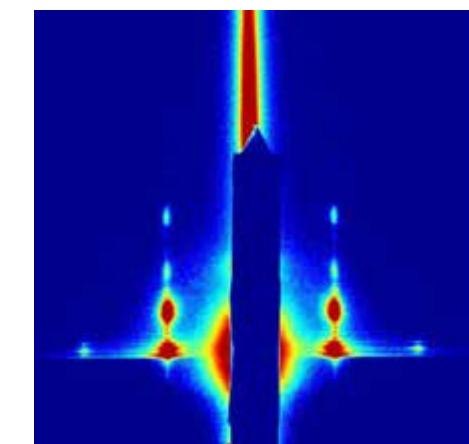
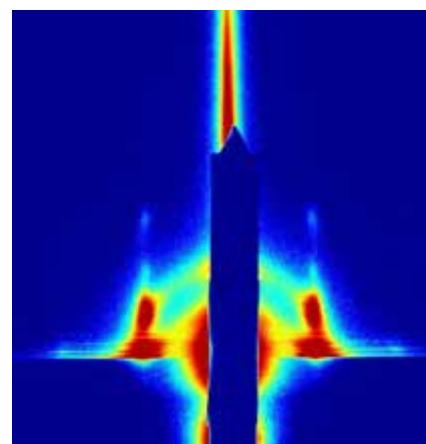
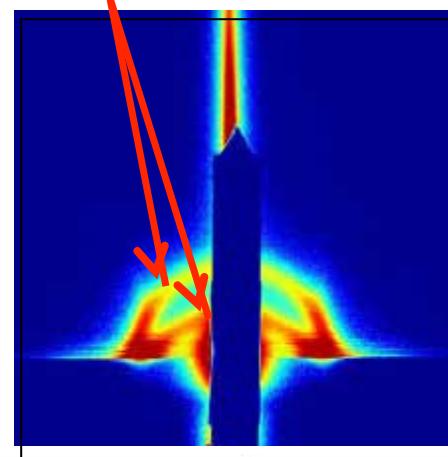
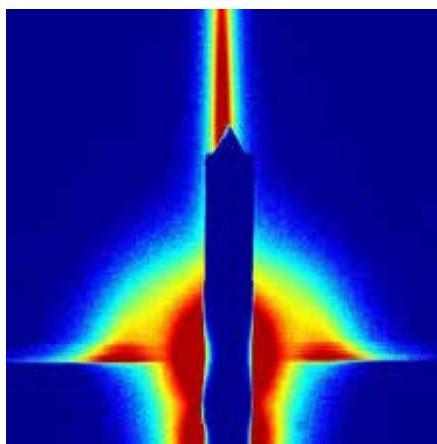
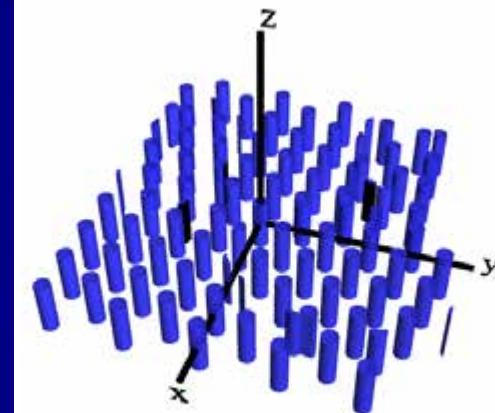
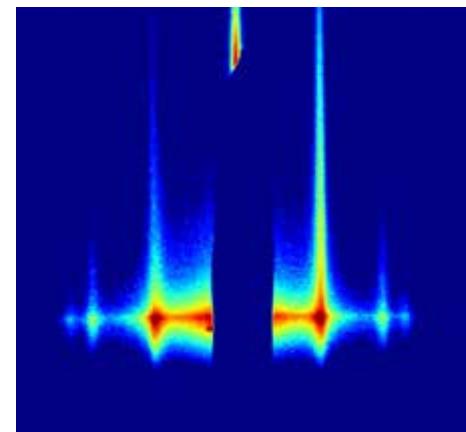
- GISAXS makes this more complicated:
 - Refraction shifts and distorts reciprocal-space
 - Reflection leads to two sets of peaks



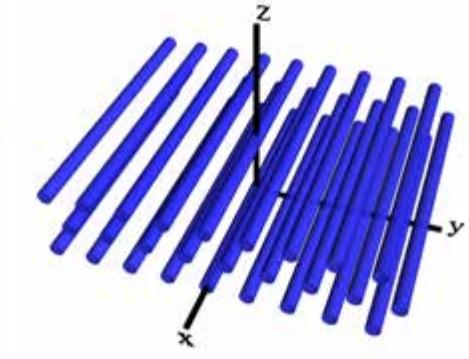
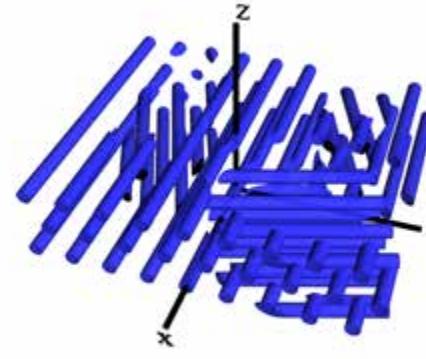
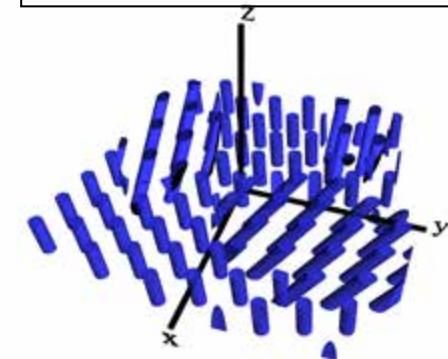
- You can use DWBA to predict peak positions
- So, you can index all the peaks, ...

Examples

- Block-copolymer cylinder phase

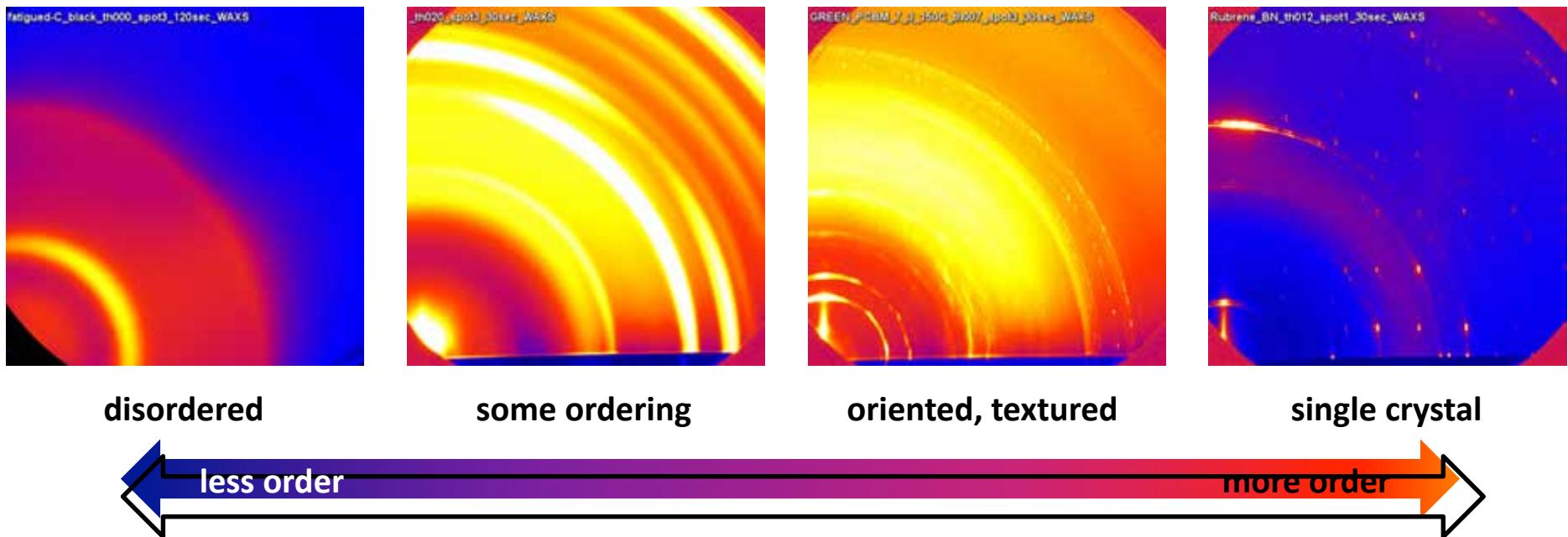


Disordered



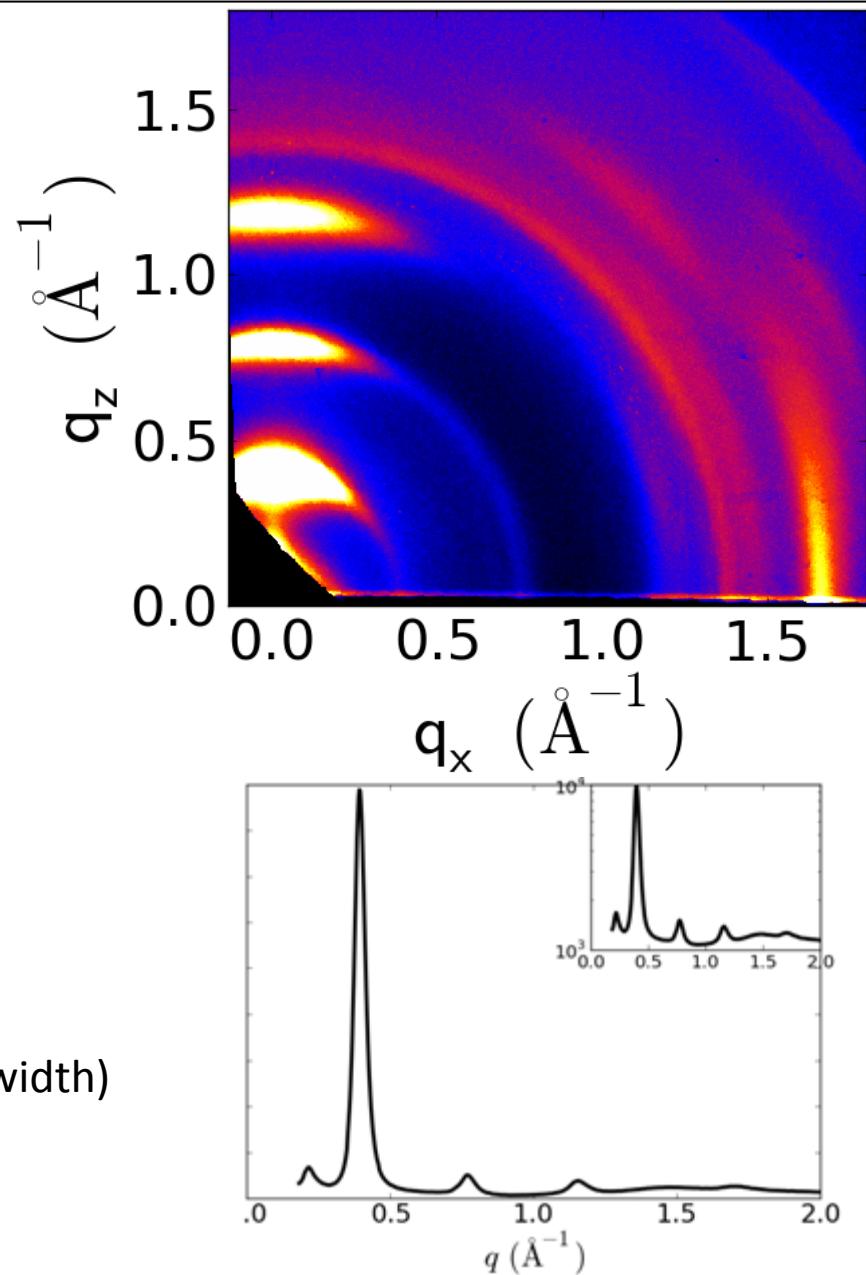
Qualitative

- Diffuse scattering comes from disorder, roughness...
 - Halo usually means amorphous, sharp rings means good order (crystal?)
 - Intensity along ring tells orientation
 - Peaks becomes speckled as the grain size becomes very large
 - An array of distinct peaks means crystal is well-oriented w.r.t. substrate
 - If the peaks appear/disappear when you rotate, you may have a single crystal



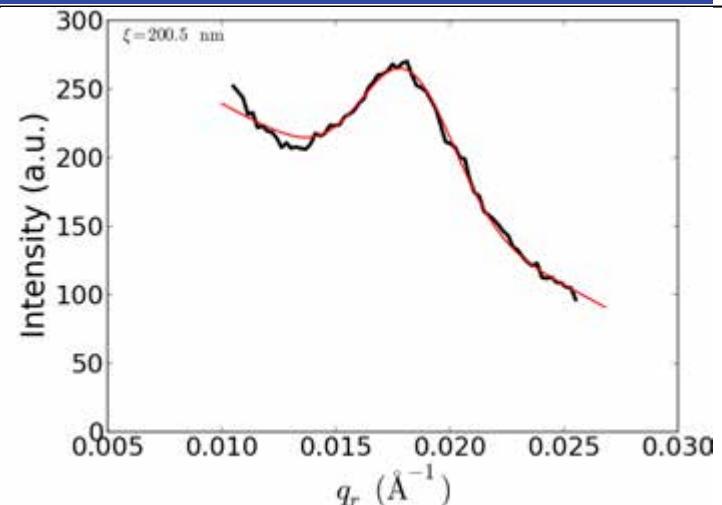
Linecut

- Calibration converts from pixel to q
 - Detector distance
 - X-ray energy
 - Beam position
 - Detector orientation/tilt
- Adjust intensity
 - Beam flux and measurement time
 - Polarization, pixel acceptance, ...
- Linecuts:
 - 1D radial average
 - Radial (in-plane, out-of-plane, other)
 - “Straight” (q_z or qr ,
 - Along an arc
- Binning:
 - Average multiple pixels to improve SNR
 - Don’t smear-out real features! (e.g. peak width)
 - Account for background!



Linecut: what can it tell you?

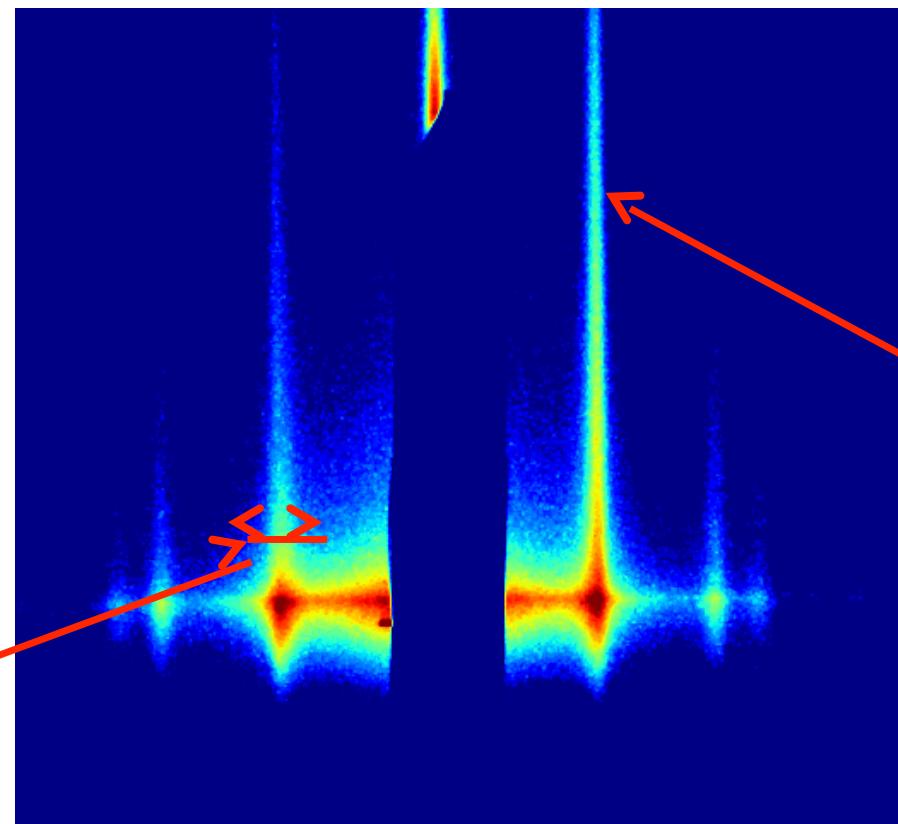
- q -position $\rightarrow d = 2\pi/q$
 - But don't forget about unit-cell symmetry!
- q -positions \rightarrow Unit cell symmetry, dimensions and distortion
- Peak width $\rightarrow \xi = 2\pi K/\Delta q$
 - Must account for instrumental resolution
 - Many things can affect peak width (microstrain, faults, ...)
- Intensity \rightarrow Population analysis (amount of material)
 - Must account for various factors: peak multiplicity, sample symmetry, experimental (flux, acceptance), ...
 - Comparing between samples can be tricky (sample size/thickness, e^- contrast)
 - Use relative comparisons or internal standards



Smilgies *J. Appl. Cryst.*
2009, 42, 1030

Peak width

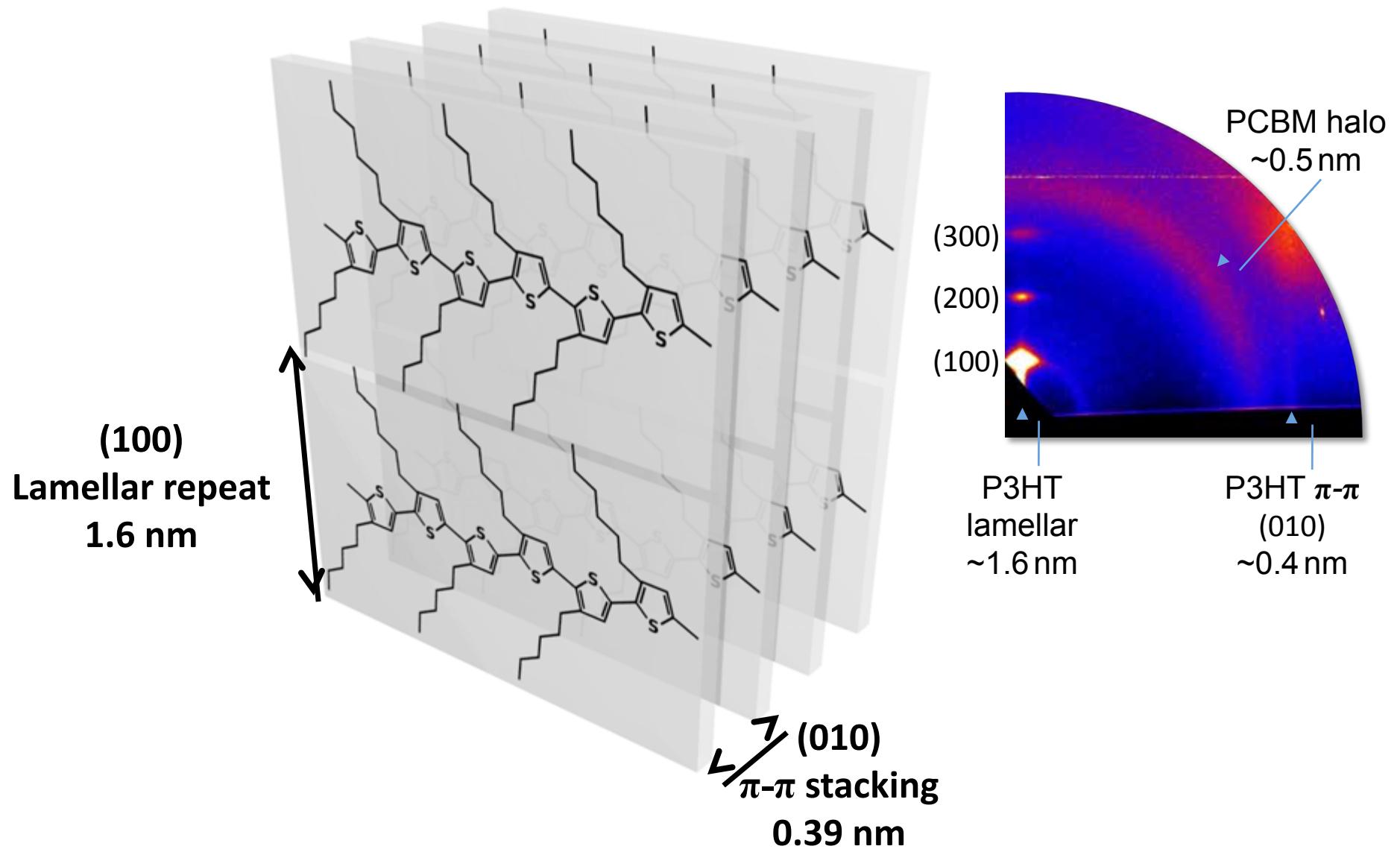
- Peak width can be anisotropic:
 - Width in each direction is telling you the ‘grain size’ in that direction
- Remember: distance is inverted $\xi = 2\pi K / \Delta q$
 - Narrow peak → big grains
 - Broad peak → small grains



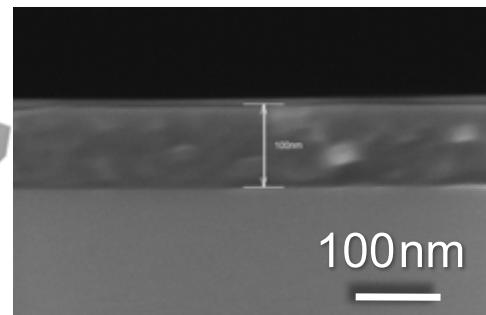
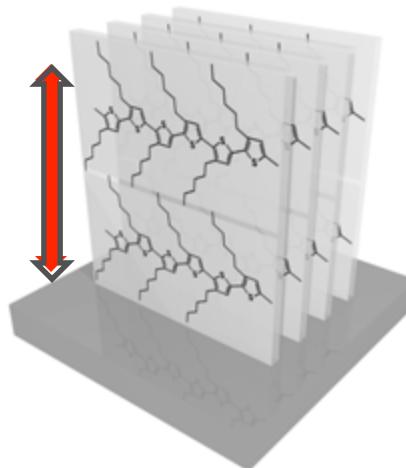
Width along qr
gives the in-plane
grain size

Extended streaks
along vertical:
This means the ‘size’
in the vertical is very
small (thickness of a
very thin film)

P3HT: poly 3-hexylthiophene

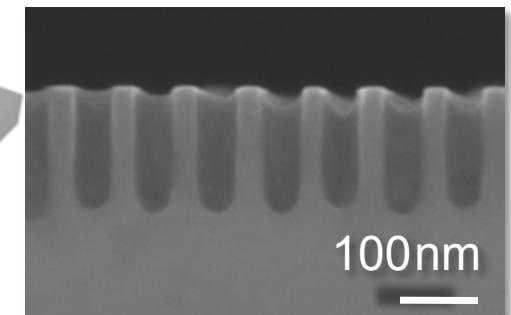
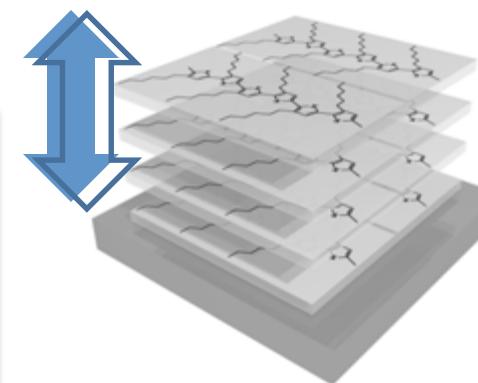


P3HT orientation



Edge-on

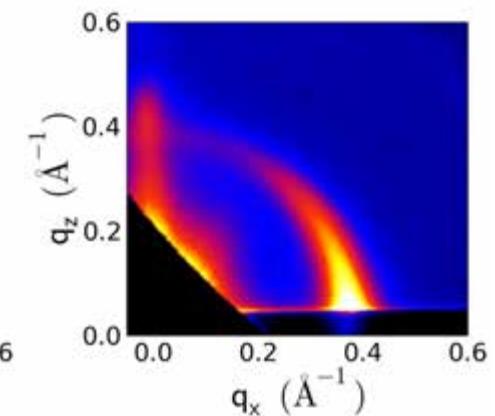
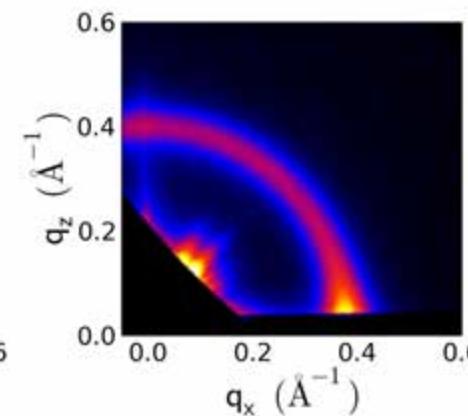
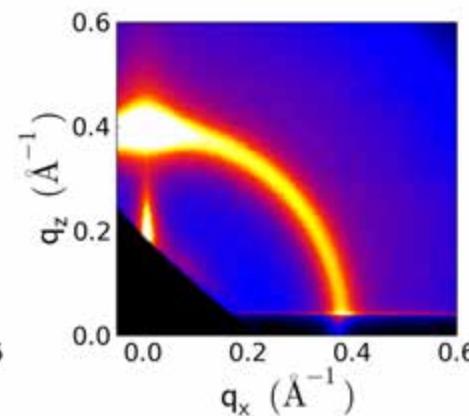
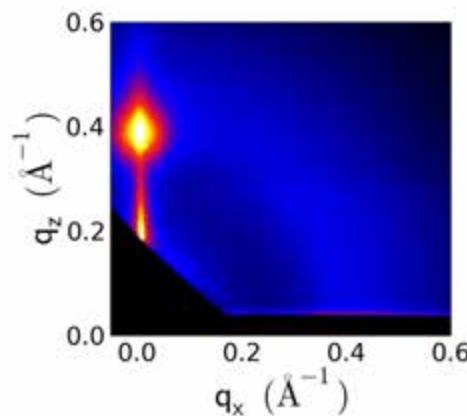
$$\alpha_h \approx 0.0002 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$$



Face-on

$$\alpha_h \approx 0.1 \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$$

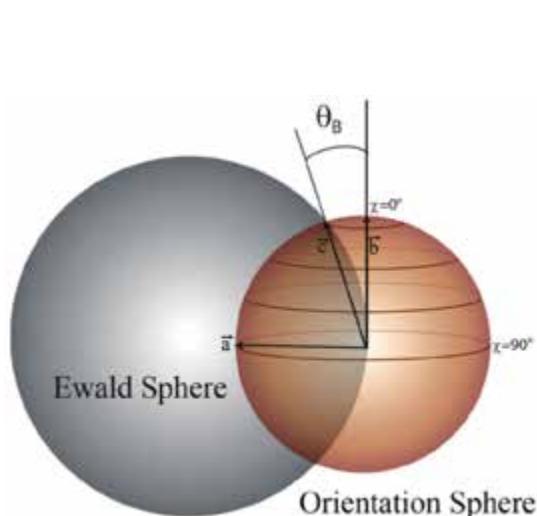
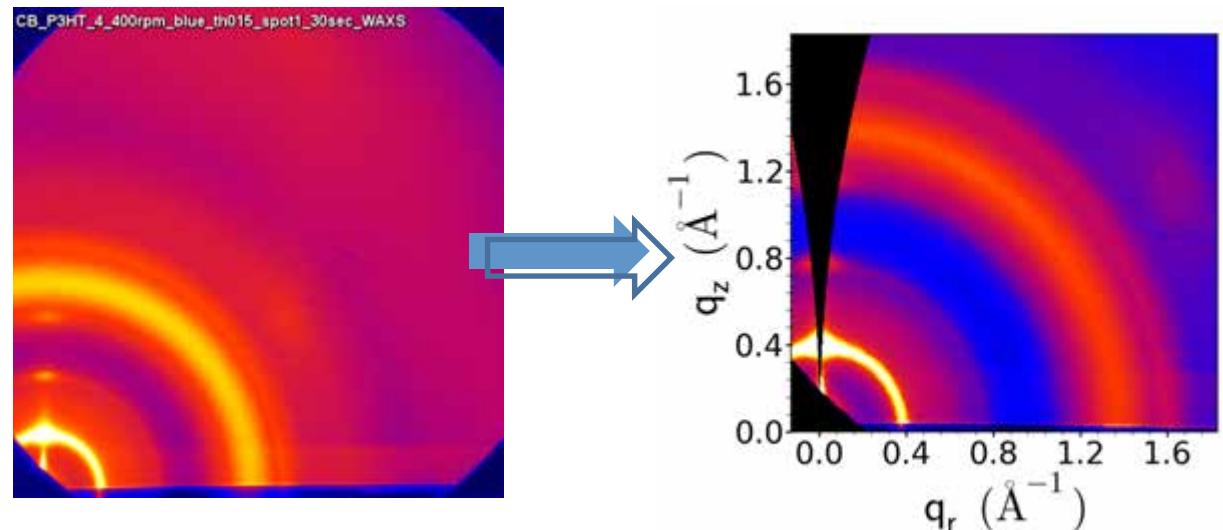
- Intensity along ring tells orientation



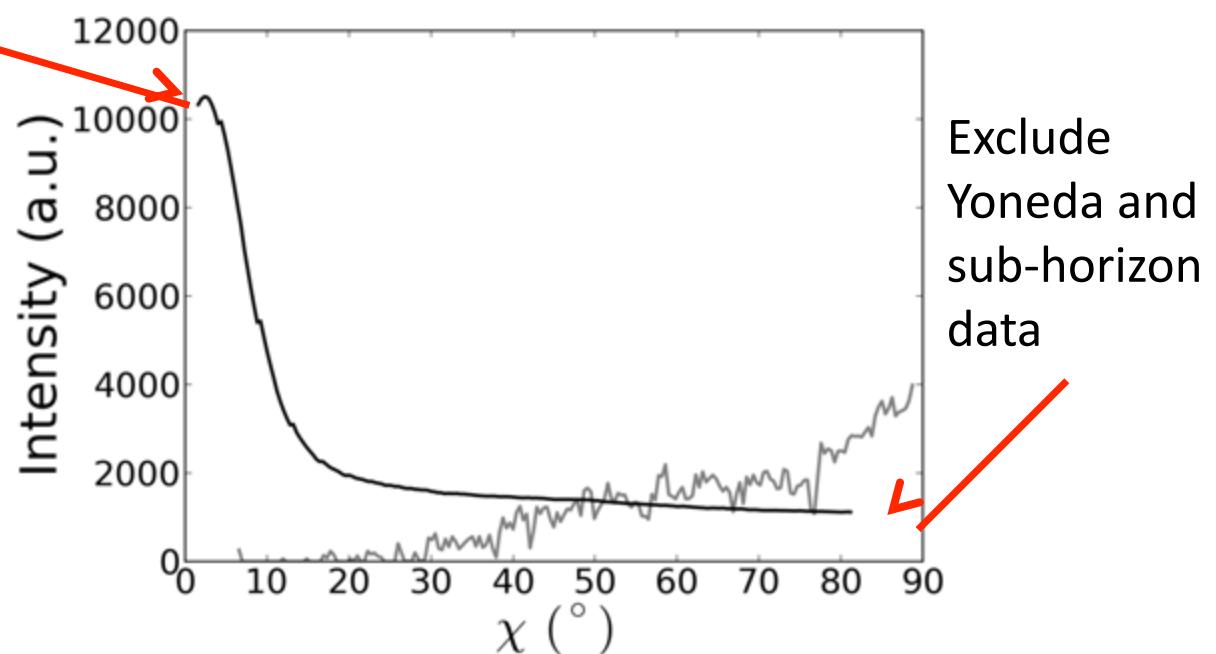
- How to quantify?

Analysis

- Account for Bragg angle
- Think in terms of how the Ewald sphere intersects reciprocal-space
- Subtract background

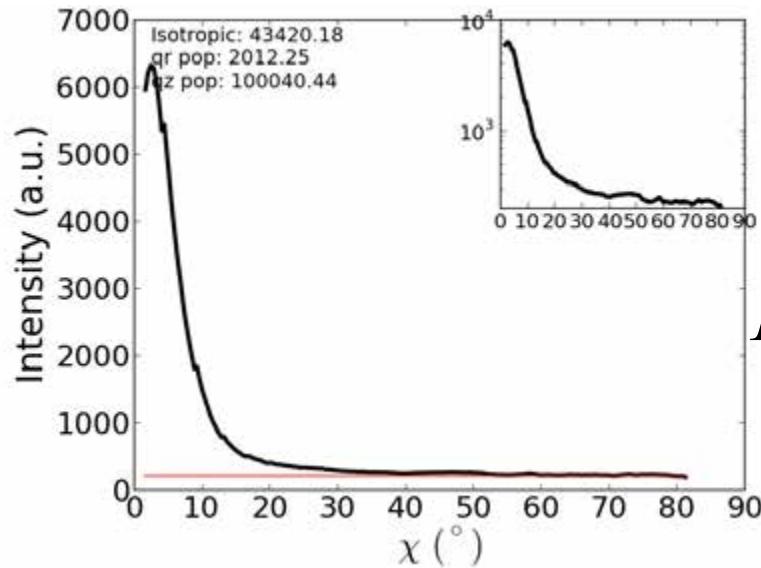


Baker *Langmuir* 2010, 26, 9146

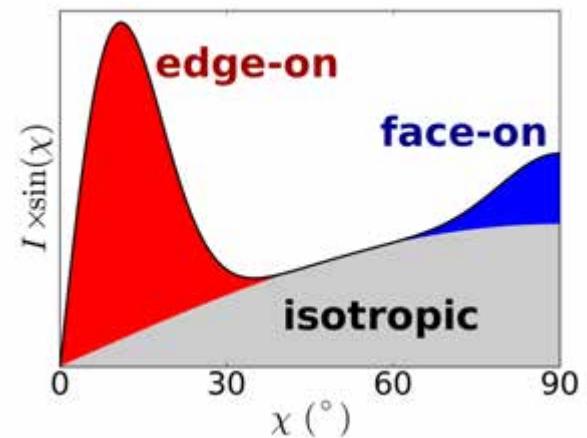
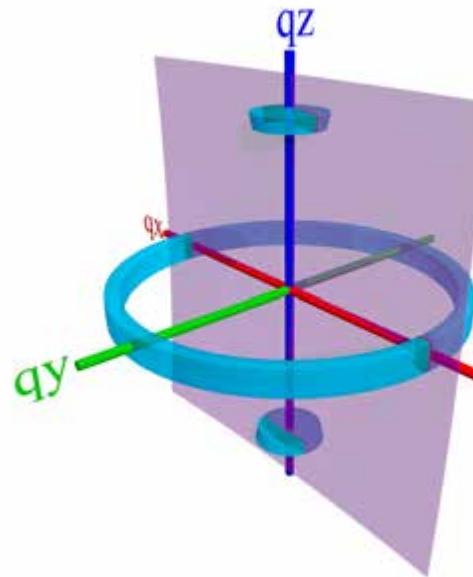
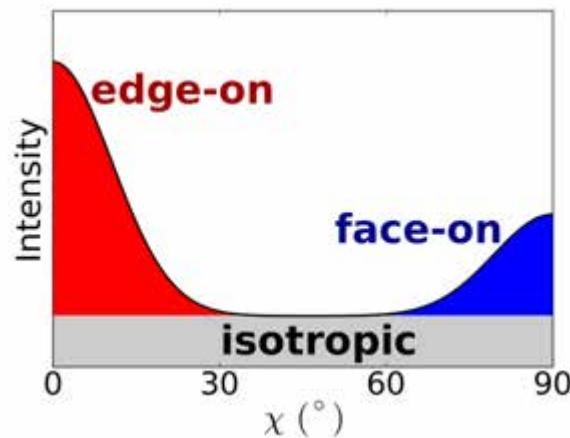
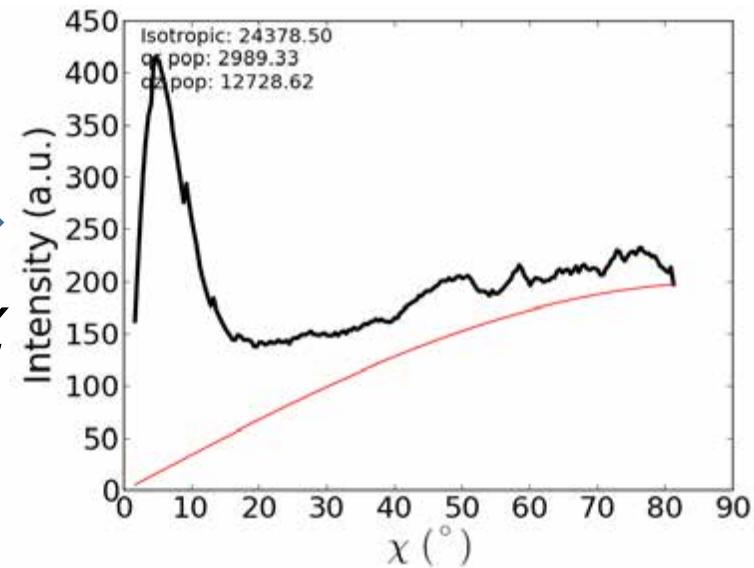


Analysis

- Account for in-plane powder symmetry

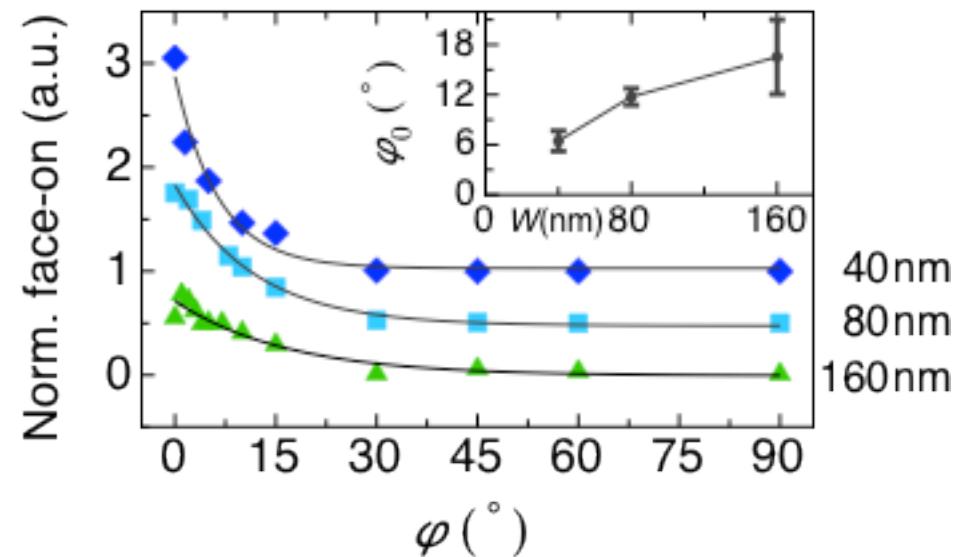
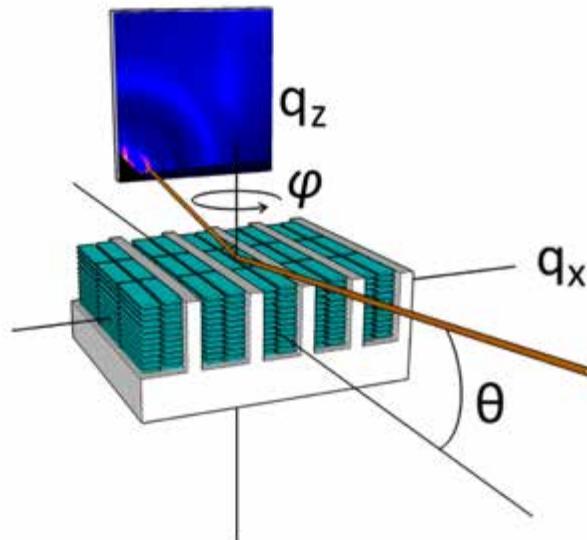
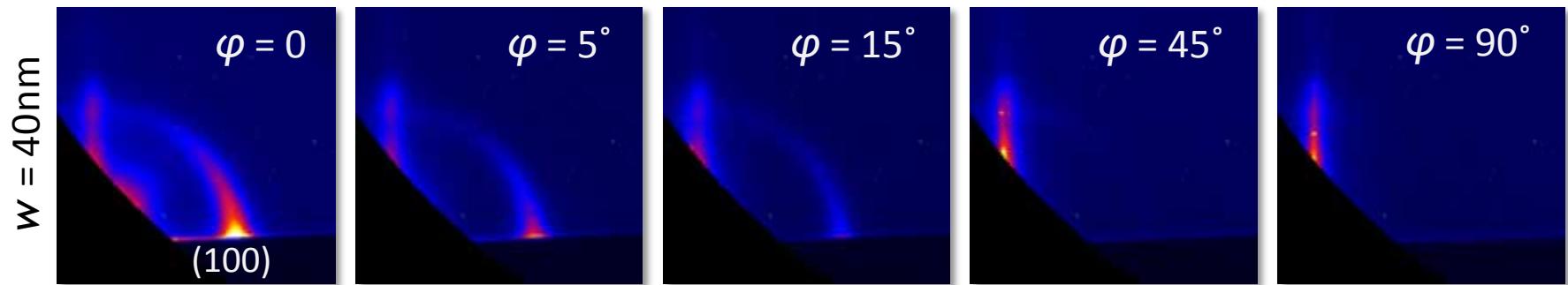


$$I_c = I \times \sin \chi$$



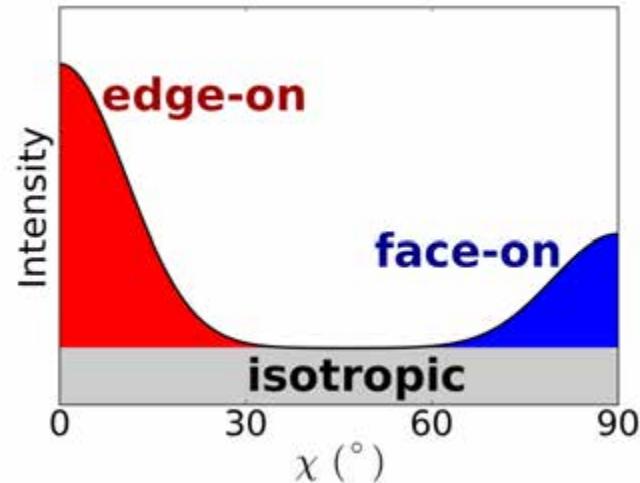
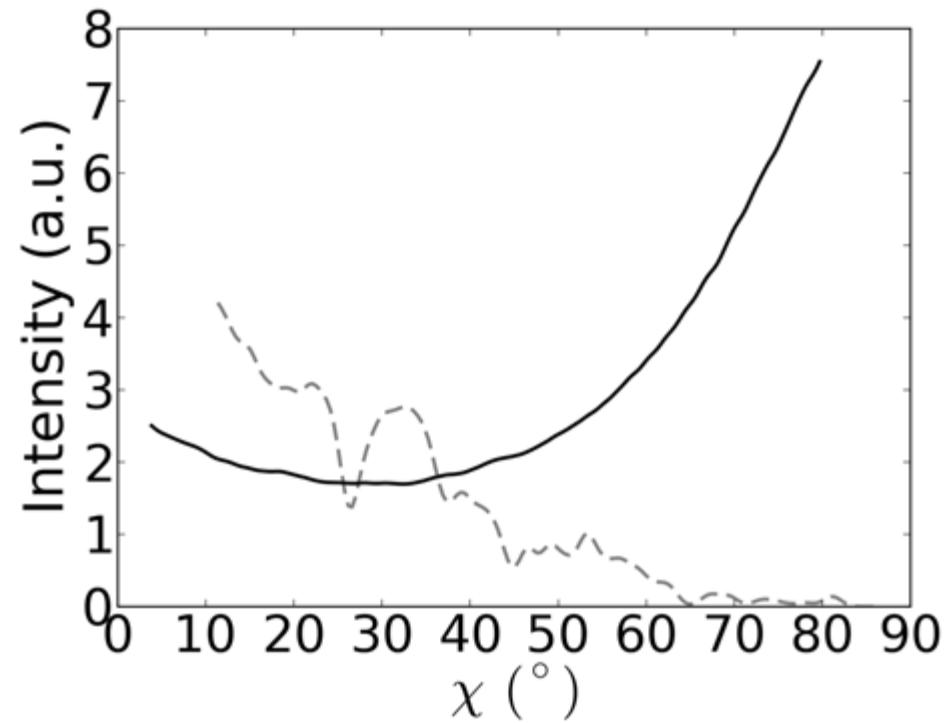
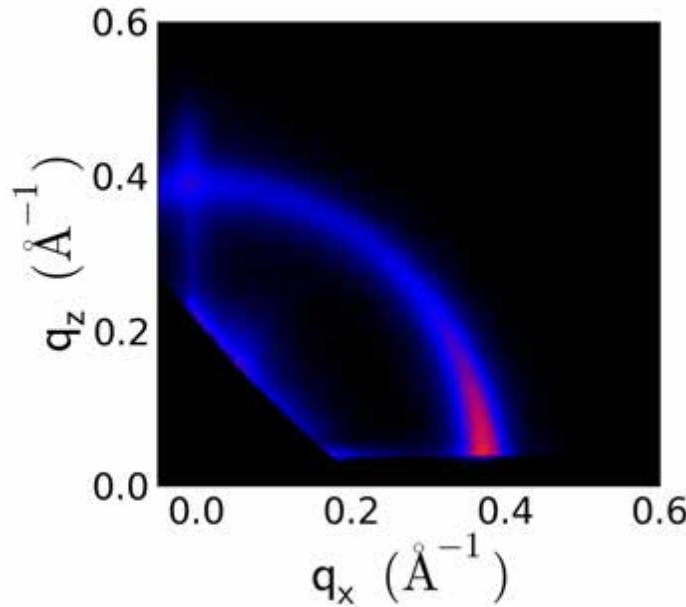
What about in-plane alignment?

- Chains align with the grooves
- Not an in-plane powder!



In-plane aligned

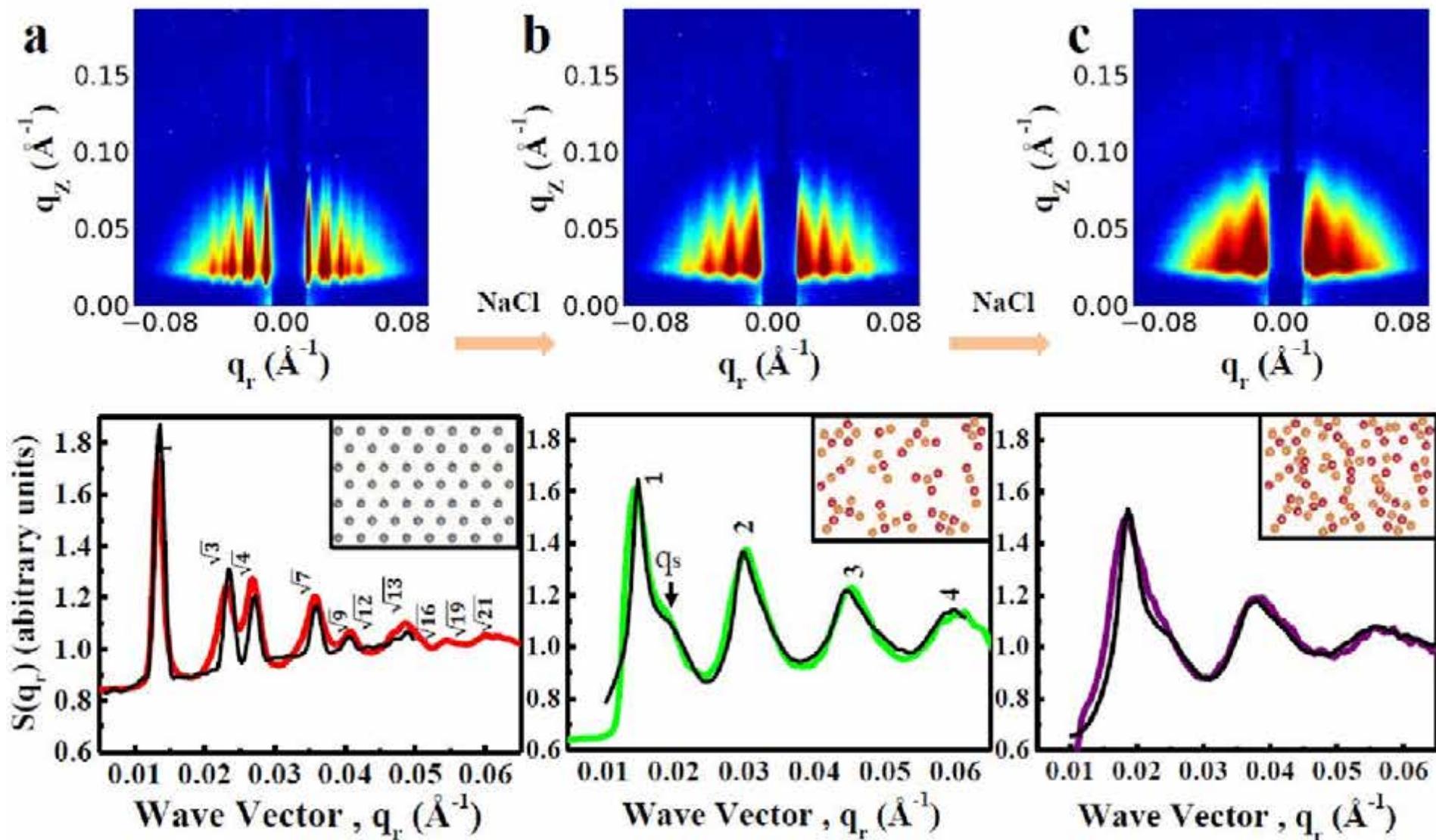
- Rotate sample, accumulated images to reconstruct 3D reciprocal-space
- Use ϕ rocking scan (and correct intensities)
- If very well-aligned: just use intensity at $\phi = 0^\circ$



2D Nanoparticle Assembly

- Nanoparticles attracted to air-water (due to charge)
- Nanoparticle organization controlled by DNA coronas

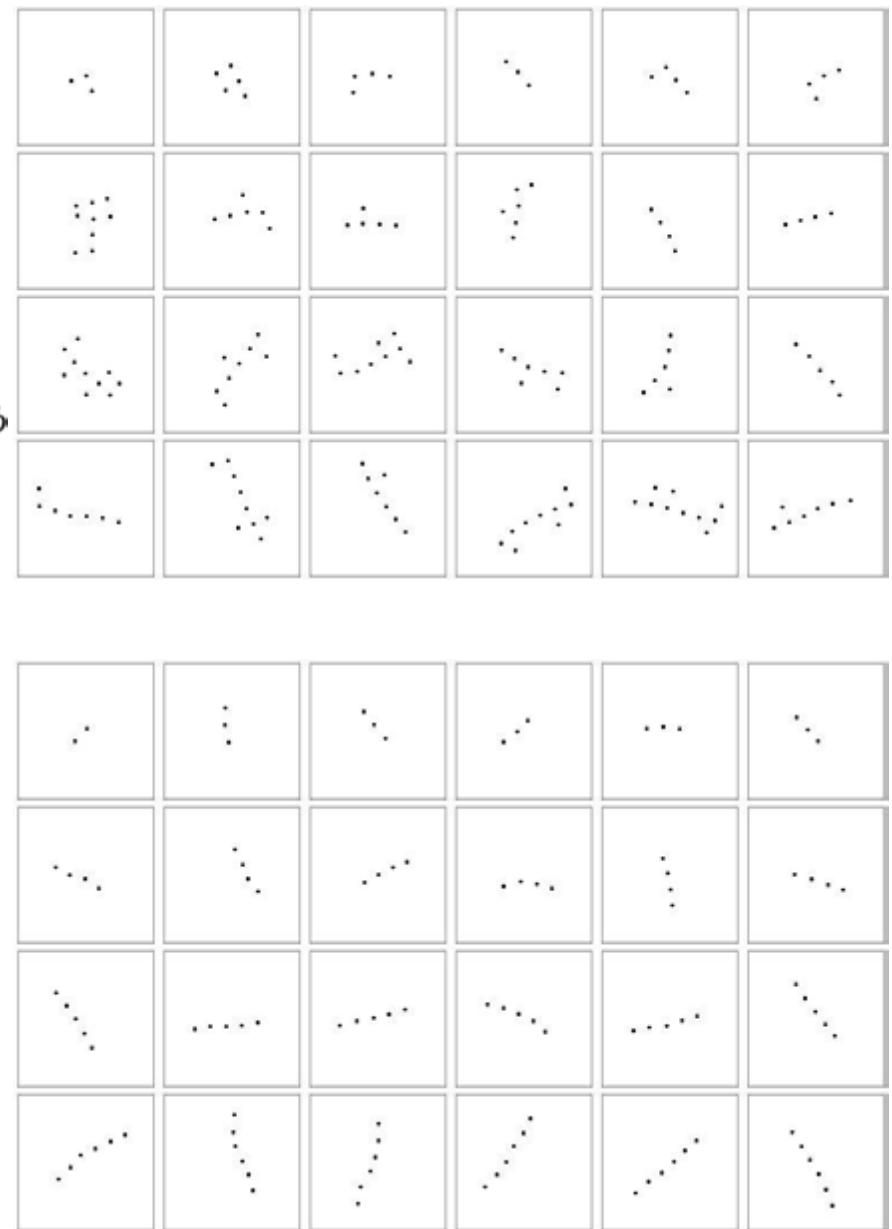
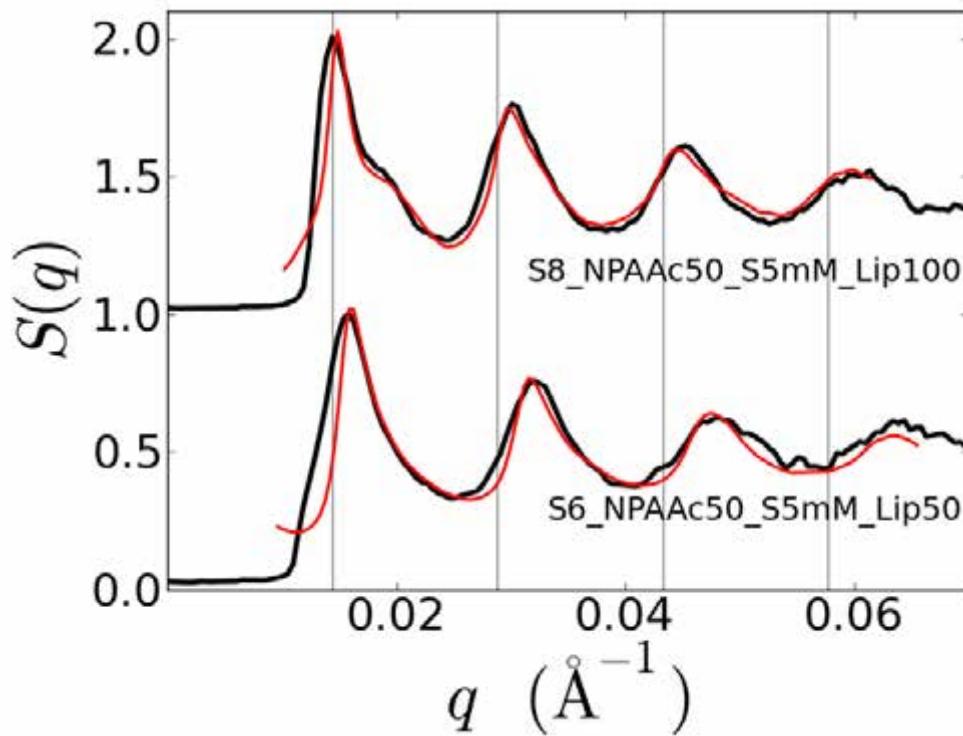
Srivastava JACS 2014, ASAP



2D Nanoparticle Assembly

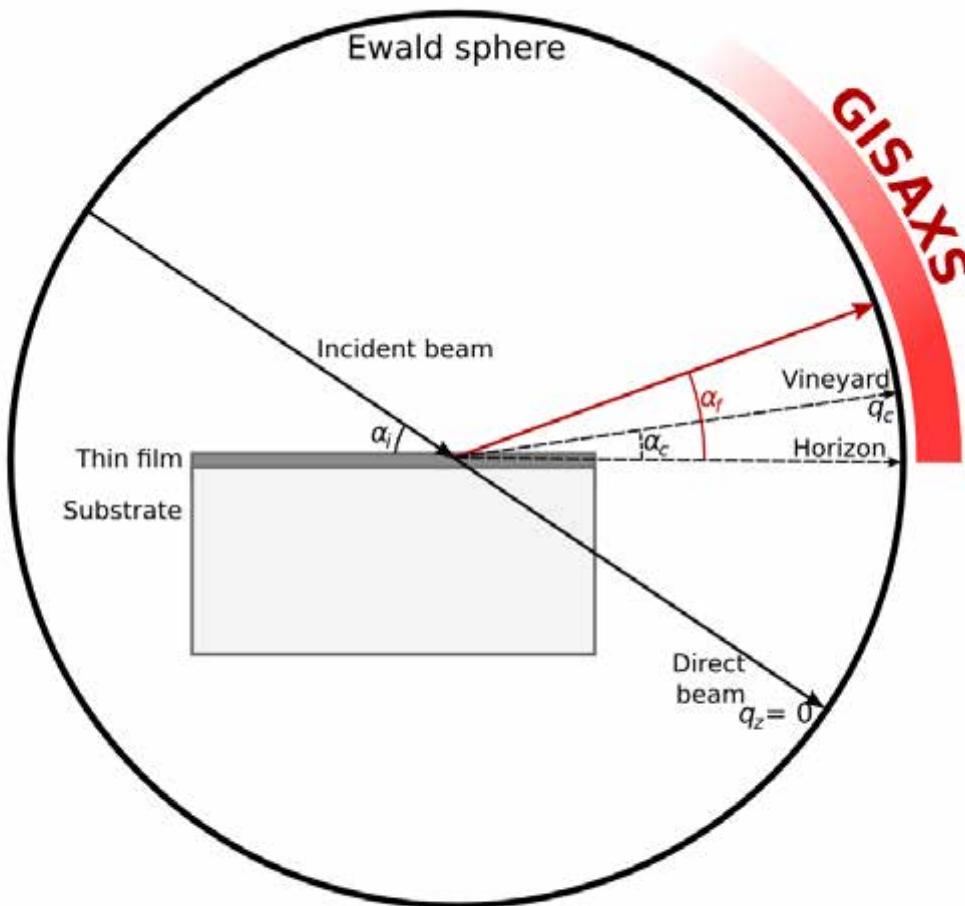
- Brute-force modeling...

$$\begin{aligned} P(q_r) &= \int_0^{2\pi} |F(q_x, q_y)|^2 d\phi \\ &= \int_0^{2\pi} |\rho(r) e^{iq \cdot r} dV|^2 d\phi \\ &= \int_0^{2\pi} \left| \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \rho(x, y) e^{iq_x x} e^{iq_y y} dx dy \right|^2 d\phi \\ &= \int_0^{2\pi} \left| \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \rho(x, y) e^{iq_r \sin(\phi)x} e^{iq_r \cos(\phi)y} dx dy \right|^2 d\phi \end{aligned}$$



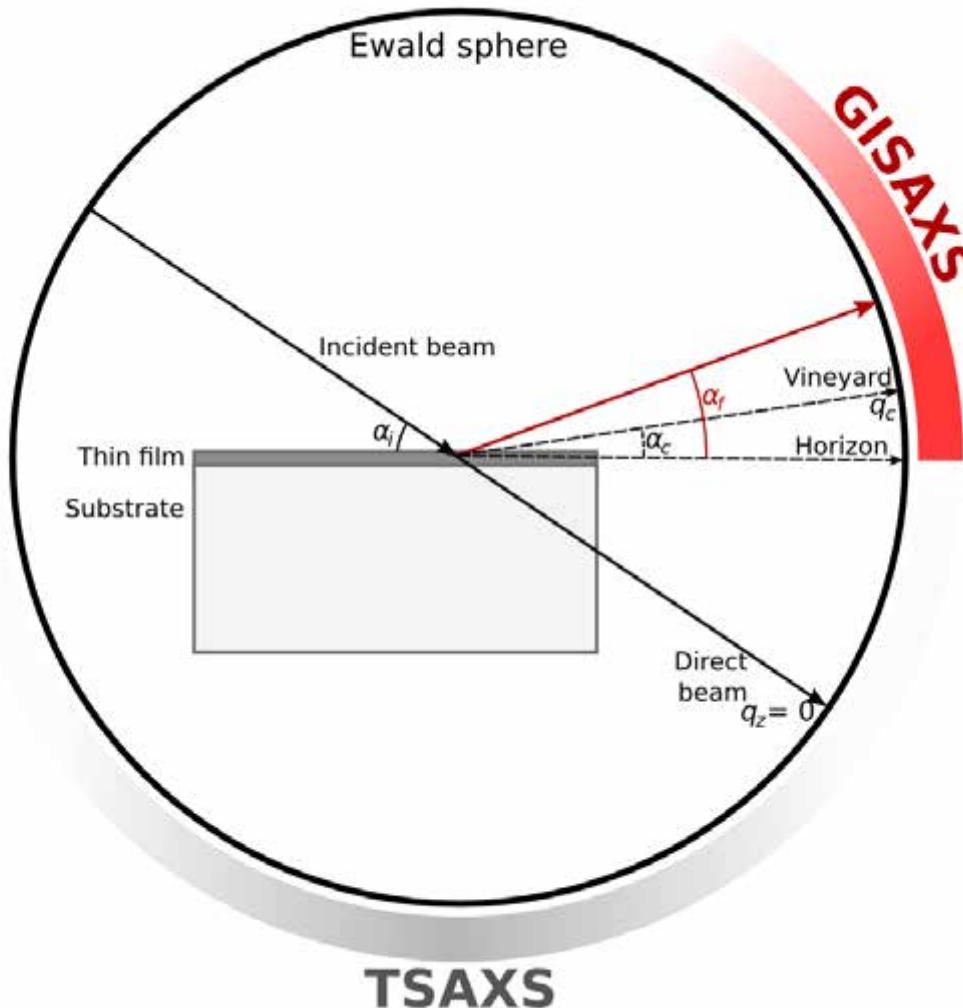
Conventional GISAXS

- Thin film scattering: GISAXS



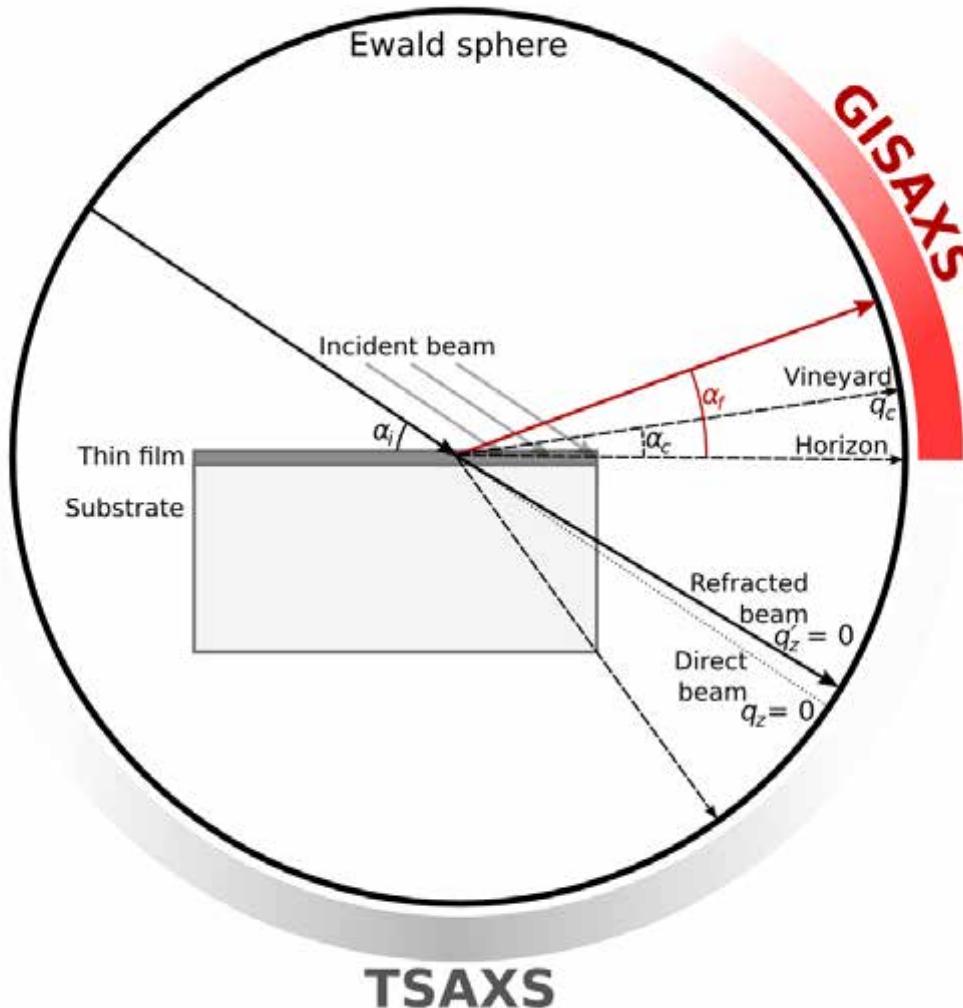
Conventional GISAXS

- GISAXS blocked below horizon
- TSAXS attenuated at high angle



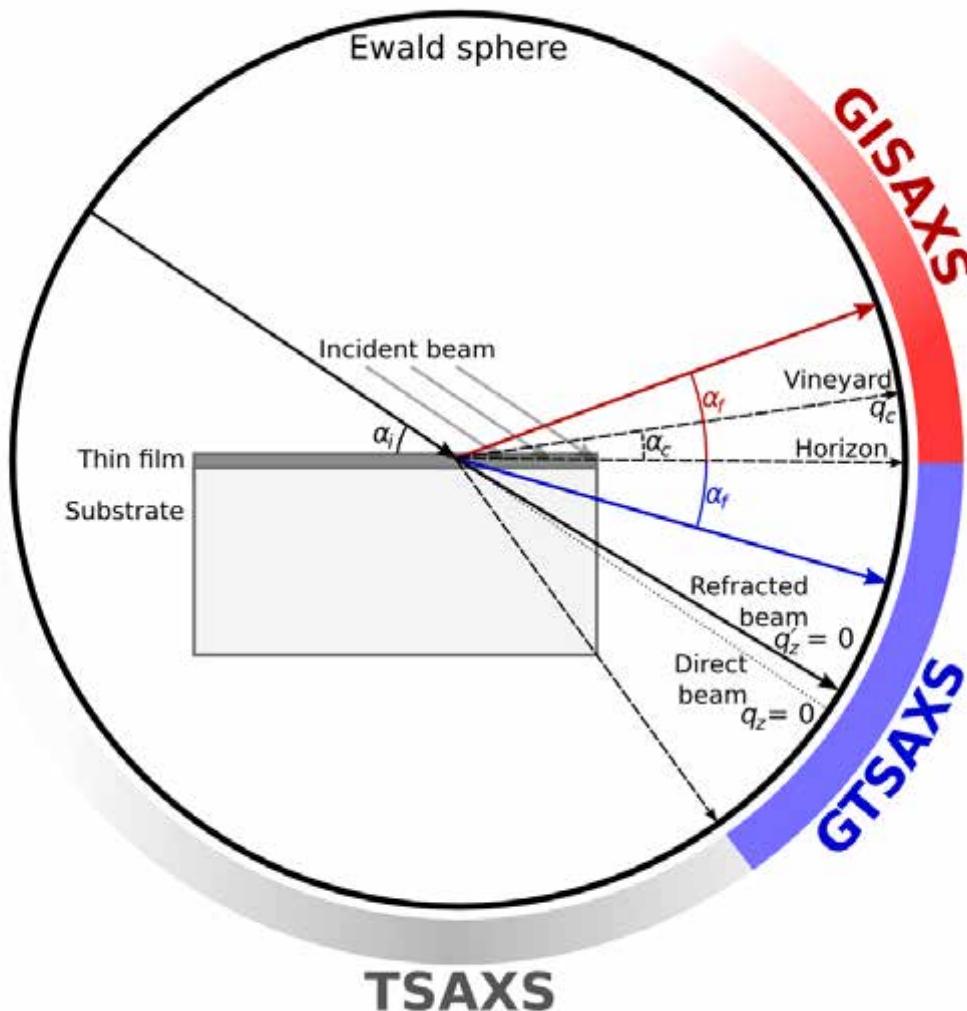
New geometry

- What if beam hits near edge of substrate?
- Scattering exits from edge...



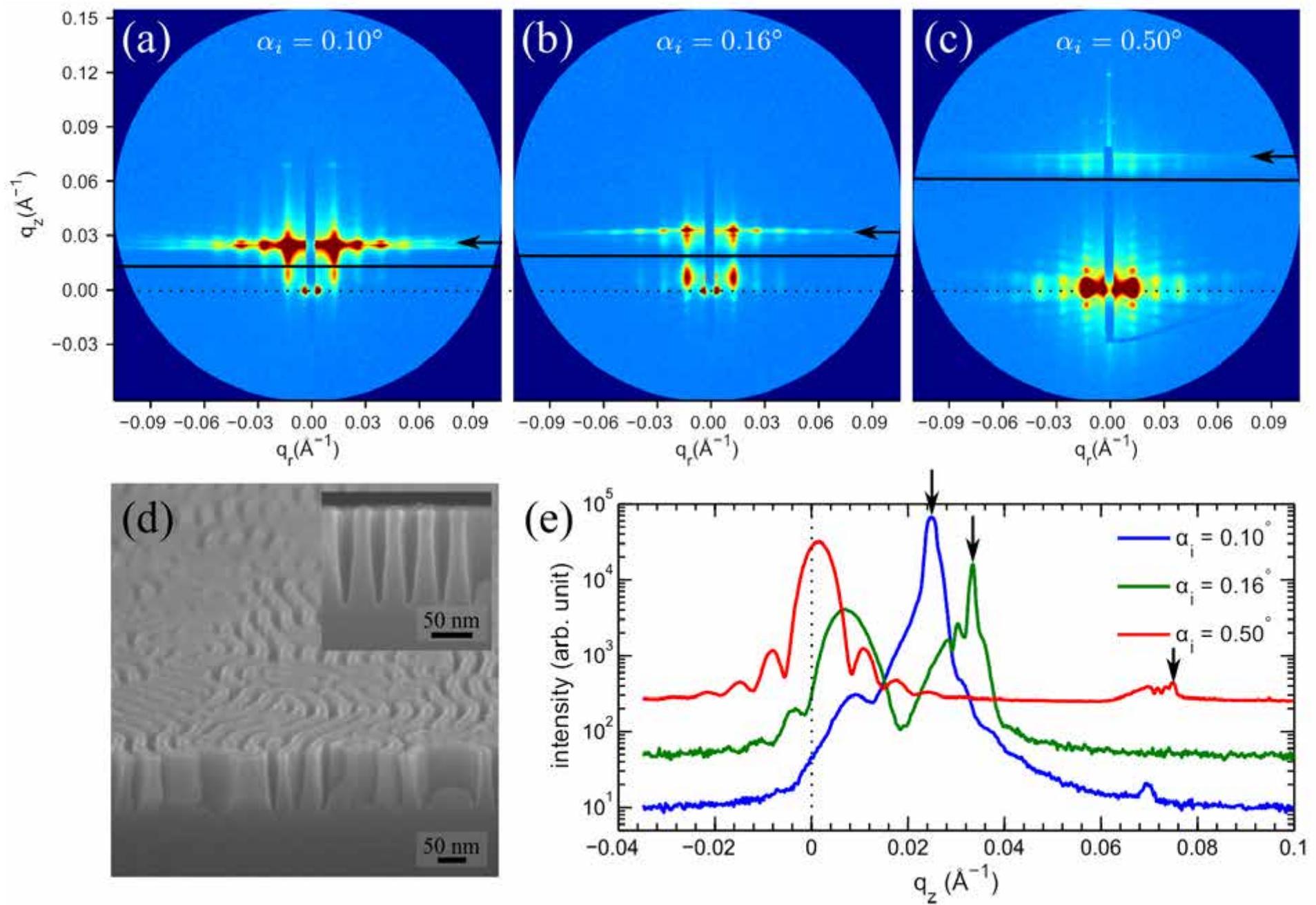
New concept: GTSAXS

- Grazing-incidence Transmission Small-Angle X-ray Scattering



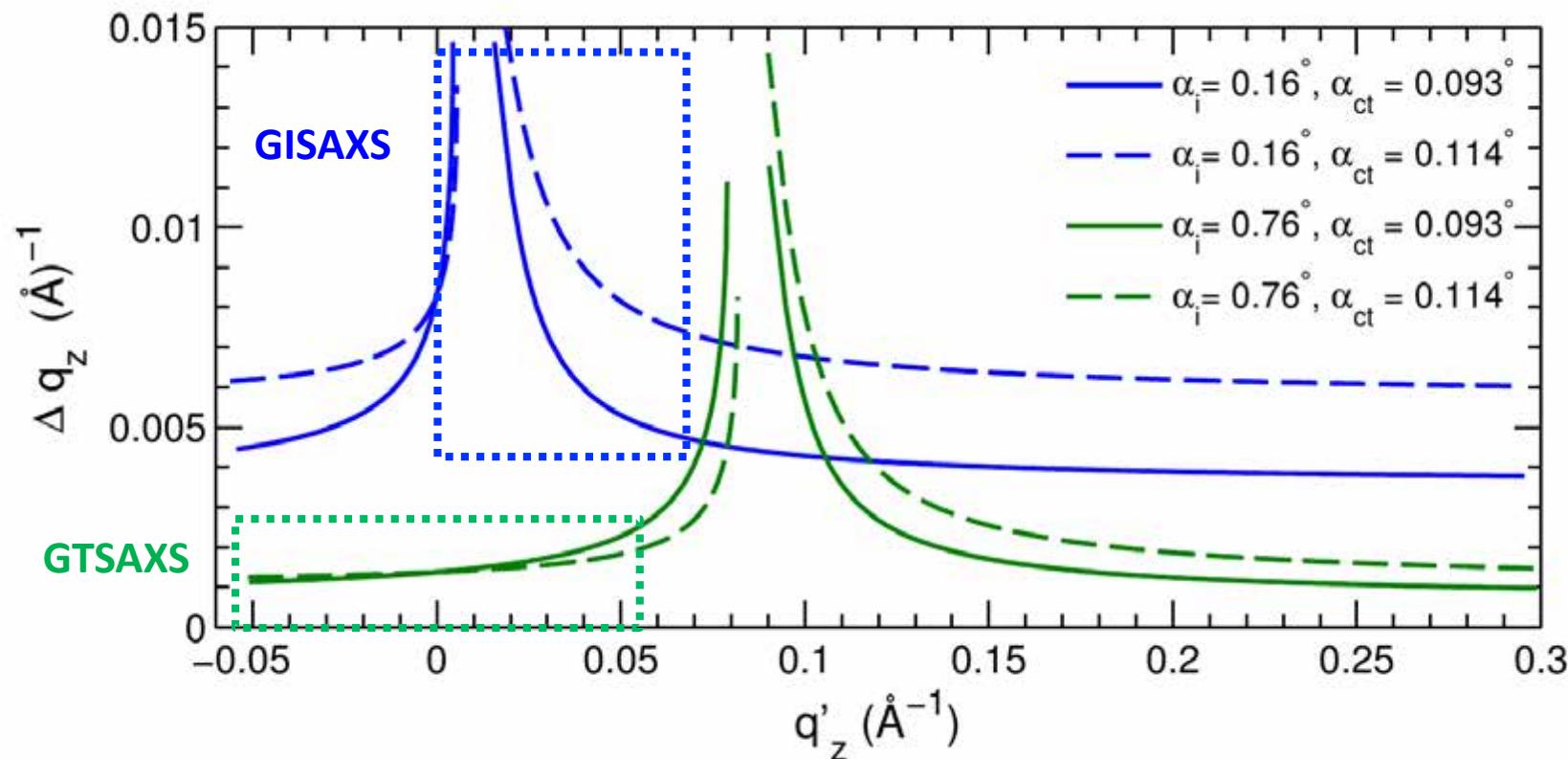
Scattering exits from edge (between horizon and sample corner)

BCP pattern



GTSAXS

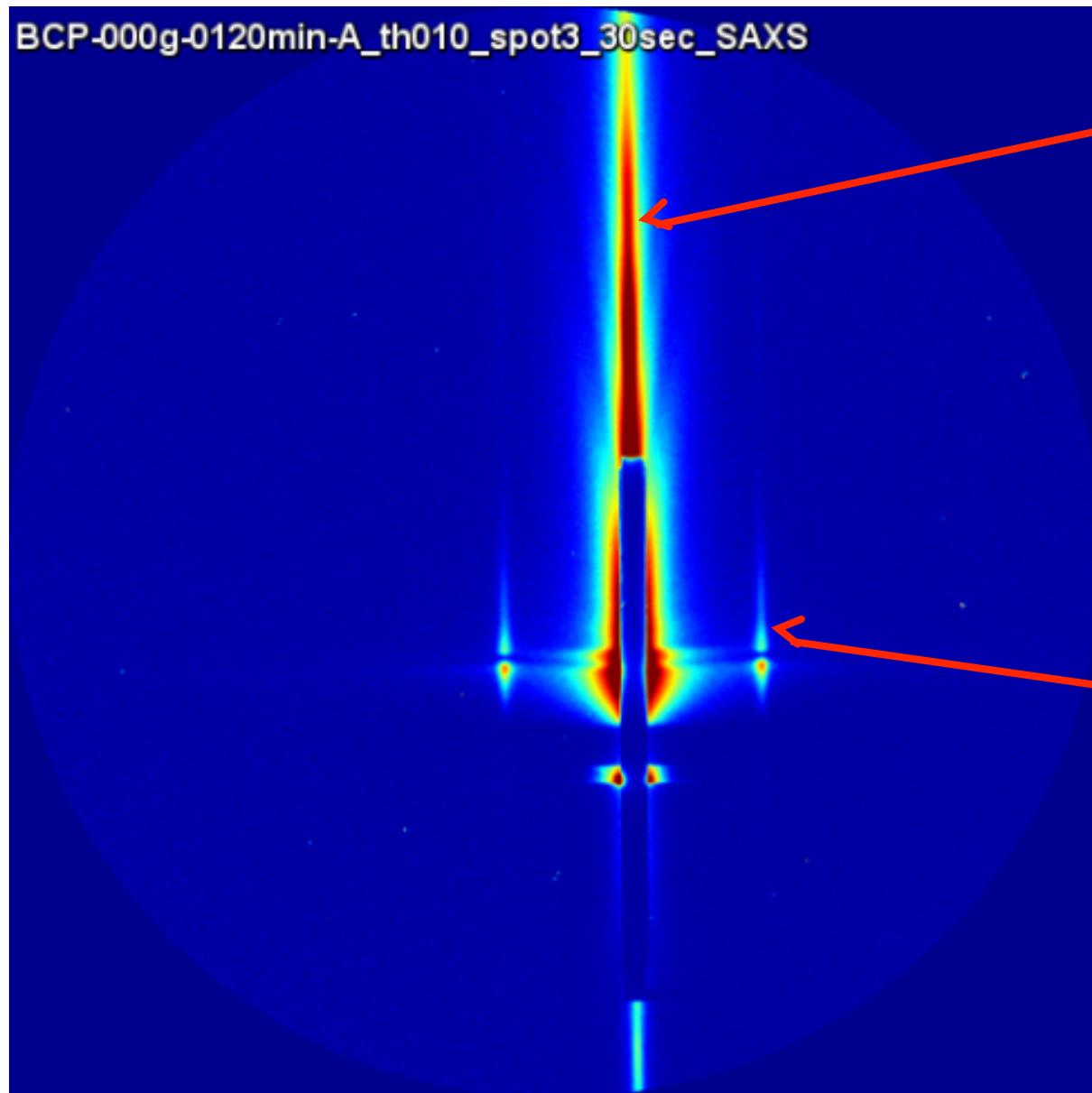
- New geometry makes data much simpler to analyze:
 - Reflection terms nearly zero
 - Refraction is **small** and **linear** (and independent of angle)
- Simple scattering theory (Born approximation) now sufficient to fit data!
- All that is required is (*representative*) sample near edge



Conclusion

- Analysing GISAXS data can be tricky...
- But it's worth doing:
 - In principle, everything about the sample's structure is encoded in the data
- Thinking in terms of **reciprocal-space** can help
- **Questions?**

Exercises



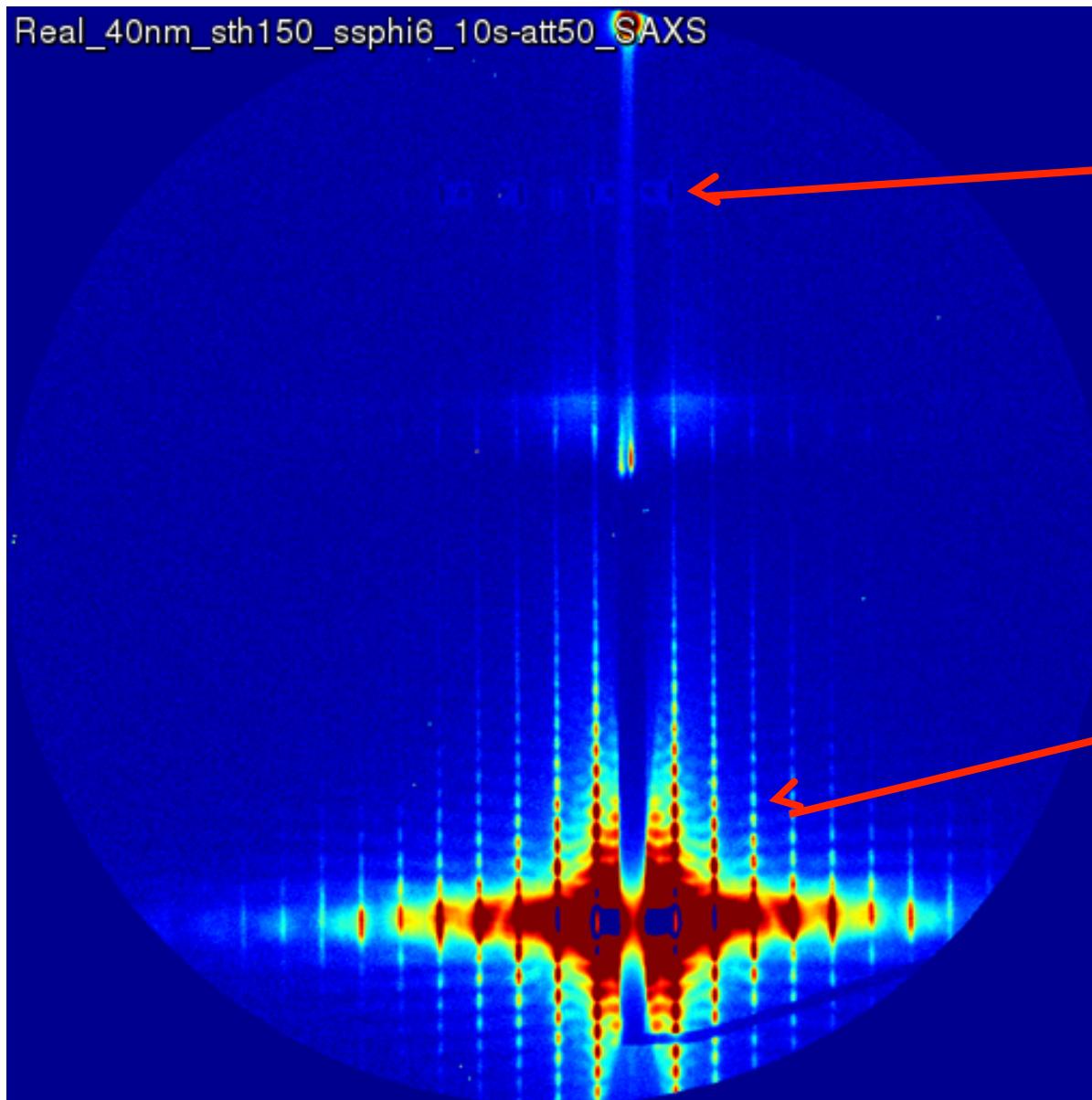
What's this intense rod?

Why are there oscillations along the rod?

What does this peak tell you?

Exercises

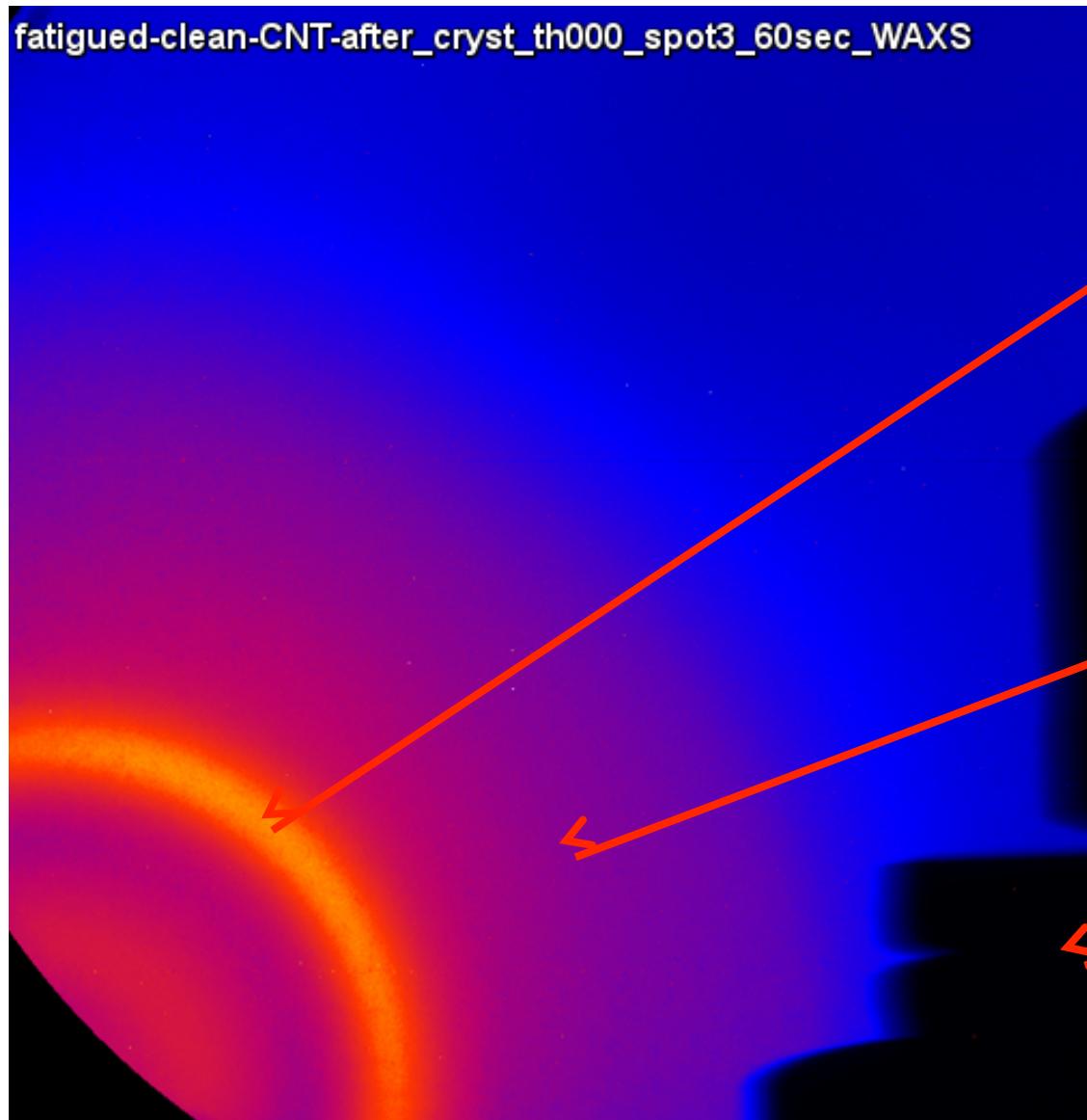
Real_40nm_sth150_ssphi6_10s-att50_SAXS



What's this
stuff?

Lots of peaks!
What kind of
sample is this?

Exercises

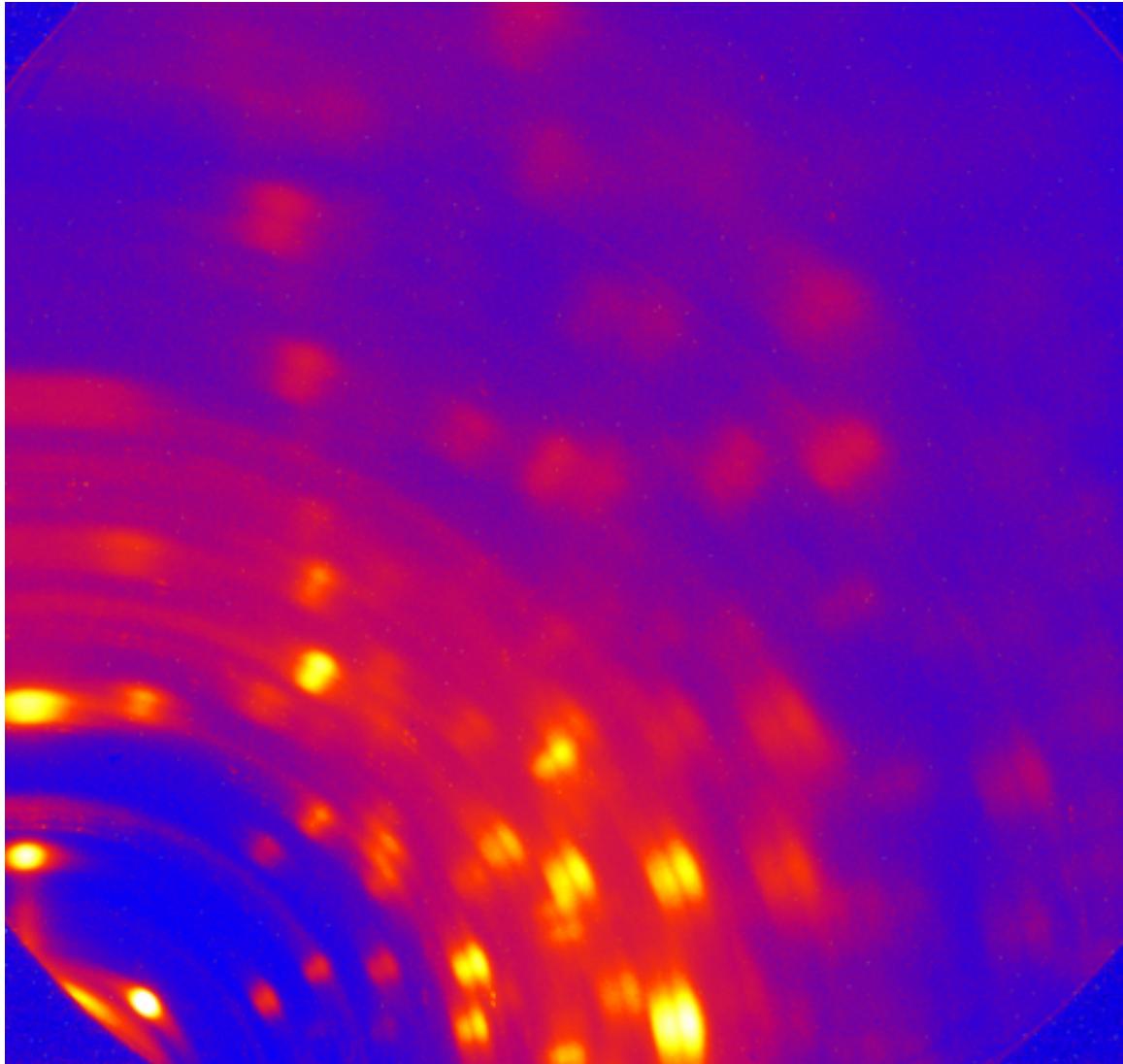


What does this ring tell you?

High background... why?

What's this?

Exercises



**How would
you analyze
this sample?**